

Chapter 391.

International Trade (Fauna and Flora) Act 1979.

Certified on: / /20 .

INDEPENDENT STATE OF PAPUA NEW GUINEA.



Chapter 391.

International Trade (Fauna and Flora) Act 1979.

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INDEPENDENT STATE OF PAPUA NEW GUINEA.



AN ACT

entitled

International Trade (Fauna and Flora) Act 1979,

Being an Act to further the conservation of the natural environment of Papua New Guinea and its native animals and plants by promoting their sustainable use, and to implement the State's obligations as a party to the *Convention on International Trade in Endangered Species of Wild Fauna and Flora* by controlling and regulating the trade, possession, transport, exportation and importation of certain species of fauna and flora, and for related purposes.

PART I. – PRELIMINARY.

1A. COMPLIANCE WITH CONSTITUTIONAL REQUIREMENTS.

¹(1) This Act, to the extent that it regulates or restricts a right or freedom referred to in Subdivision III.3.C (qualified rights) of the Constitution, namely –

- (a) the right to freedom from arbitrary search and entry conferred by Section 44; and
- (b) the right to privacy conferred by Section 49,

of the Constitution, is a law that is made for the purpose of giving effect to the public interest in public order and public welfare.

(2) For the purposes of Section 41(2) of the Organic Law on Provincial Governments and Local-level Governments, it is declared that this Act relates to a matter of national interest.

(3) For the purpose of Section 41(6) of the Organic Law on Provincial Governments and Local-level Governments, it is declared that this Act is an Act of the Parliament on a matter specified in Section 42 or 44, and prevails over any law made under Section 42 or 44 to the extent of any inconsistency.

¹ Section 1A Inserted by No. 33 of 2003, s. 2.

(4) For the purpose of Section 53(1) (Protection from unjust deprivation of property) of the Constitution, the purposes of this Act are declared to be public purposes.

1. INTERPRETATION.

(1)² In this Act unless the contrary intention appears—

³⁴“**animal**” does not include homo sapiens;

⁵“**approval means an approval to import a living exotic specimen in accordance with Section 13B;**”

⁶“**authorization**” means an approval, certificate, licence, permit or other form of authorization under this Act;

⁷“**certificate**” means a certificate to introduce from the sea issued under Section 7, 11 or 13;

⁸“**certificate of origin**” means a certificate issued in respect of a Schedule 3 specimen under Section 12 or 13;

⁹“**CITES-listed**”, in relation to a species or a specimen, means a species or a specimen of a species included in Schedule 1, 2 or 3;

¹⁰“**Convention**” means the Convention on International Trade in Endangered Species of Wild Fauna and Flora, done at Washington in the United States of America on 3 March 1973, as amended in Bonn on 22 June 1979, a copy of the English language version of the text of which is set out in Schedule 6, as amended from time to time in accordance with Section 2;

¹¹“**controlled native specimen**” means a specimen of a species naturally occurring in Papua New Guinea other than a species listed in Schedule 1, 2 or 3 or exempted from the requirements of this Act under Schedule 4;

² Section 1 Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3.

³ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3; Subsection (1) amended by No. 33 of 2003, s. 3.

⁴ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

⁵ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

⁶ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

⁷ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

⁸ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

⁹ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

¹⁰ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

¹¹ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

¹²“**export**” means to –

- (a) send or take out of Papua New Guinea; or
- (b) attempt to send or take out of Papua New Guinea; or
- (c) receive on account or consignment for the purpose of Paragraph (a) or (b); or
- (d) carry or transport for the purpose of Paragraph (a) or (b);

¹³“**export licence**” means a licence issued under Section 3D;

“**export permit**” means–

- (a)¹⁴ where the Management Authority issues the permit–an export permit, issued under Section 3D; or
- (b) where the relevant authority of another country a party to the Convention issues the permit–an export permit, issued by that relevant authority in accordance with the Convention and the laws of that country; or
- (c) where another country not a party to the Convention issues the permit–a document issued by the competent authorities of that country which substantially conforms with the requirements of the Convention in respect of export permits;

¹⁵“**exotic species and exotic specimen**” mean respectively a species or a specimen of a species not naturally occurring in Papua New Guinea, other than species listed in Schedule 1,2, or 3 or exempted from the requirements of this Act under Schedule 5;

¹⁶“**import**” means to land on or attempt to land on, bring into or introduce into, any place in Papua New Guinea, but does not include transit, transshipment or introduction from the sea;

“**import permit**” means–

- (a)¹⁷ where the Management Authority issues the permit–an import permit issued under Section 3D; or
- (b) where the relevant authority of another country a party to the Convention issues the permit–an import permit issued by that relevant authority in accordance with the Convention and the laws of that country; or
- (c) where another country not a party to the Convention issues the permit–a document issued by the competent authorities of that

¹² Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

¹³ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

¹⁴ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

¹⁵ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

¹⁶ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

¹⁷ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

country which substantially conforms with the requirements of the Convention in respect of import permits;

¹⁸“**inspector**” means an inspector appointed as or deemed to be an inspector under 3C;

¹⁹“**introduction from the sea**” means transportation into Papua New Guinea of specimens of any species which were taken in the marine environment not under the jurisdiction of any State: including the air space above the sea and the sea-bed and sub-soil beneath the sea;

²⁰“**Management Authority**” means the Management Authority established by Section 3A;

²¹“**native species**” means a species of fauna or flora naturally occurring in Papua New Guinea;

²²“**primarily commercial purposes**” means purposes whose non-commercial aspects do not clearly predominate;

²³“**re-export**” means the exportation of any specimen that has previously been imported;

“**re-export permit**” means—

(a)²⁴ where the Management Authority issues the permit—a re-export permit issued under Section; or

(b) where the relevant authority of another country a party to the Convention issues the permit—a re-export permit issued by that relevant authority in accordance with the Convention and the laws of that country; or

(c) where another country not a party to the Convention issues the permit—a document issued by the competent authorities of that country which substantially conforms with the requirements of the Convention in respect of export permits;

²⁵“**Schedule 1 species and Schedule 1 specimen**” mean a species or specimen of a species included in Schedule 1, being a species included in Appendix 1 to the Convention which is threatened with extinction which is or may be affected by trade;

¹⁸ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

¹⁹ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

²⁰ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

²¹ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

²² Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

²³ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

²⁴ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

²⁵ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

²⁶“**Schedule 2 species and Schedule 2 specimen**” mean a species or specimen of a species included in Schedule 2, being a species included in Appendix II of the Convention which is –

- (a) a species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; or
- (b) another species which must be subject to regulation in order that trade in specimens of certain species referred to in Paragraph (a) may be brought under effective control;

²⁷“**Schedule 3 species and Schedule 3 specimen**” mean a species or specimen of a species included in Schedule 3, being a species the Convention has identified as being subject to regulation for the purpose of preventing or restricting exploitation, and as needing the cooperation of other parties to the Convention in the control of trade in the species;

²⁸“**Schedule 4 species and Schedule 4 specimen**” means a species or specimen of a species included in Schedule 4, being a species naturally occurring in Papua New Guinea which is exempt from the requirements of this Act;

²⁹“**Schedule 5 species and Schedule 5 specimen**” mean a species or specimen of a species included in Schedule 5, being an exotic species which is exempt from the requirements of this Act;

³⁰“**Scientific Authority**”, in relation to a species or group or category of species to which this Act applies, means the Scientific Authority designated under Section 3B in relation to that species or group or category of species;

³¹“**Secretariat**” means the Secretariat to the Convention established under Article XII of the Convention;

³²“**species**” means any species or subspecies, or any geographically separate population of that species or subspecies;

³³“**specimen**” means –

- (a) any animal or plant, whether alive or dead, or any viably reproductive part of an animal or plant; and
- (b) in the case of an animal –

²⁶ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.
²⁷ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.
²⁸ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.
²⁹ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.
³⁰ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.
³¹ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.
³² Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.
³³ Section 1 Subsection (1) amended by No. 33 of 2003, s. 3.

- (i) for Schedule 1 and 2 species – any readily recognizable part or derivative of the species; and
 - (ii) for Schedule 3 species – any readily recognizable part or derivative of the species specified in Schedule 3 in relation to the species; and
 - (iii) for controlled native species and exotic species – any readily recognizable part or derivative of the species; and
- (c) in the case of a plant –
- (i) for Schedule 1 species – any readily recognizable part or derivative of the species; and
 - (ii) for Schedule 2 and 3 species – any readily recognizable part or derivative of the species specified in Schedule 2 or 3 in relation to the species; and
 - (iii) for controlled native species and exotic species – any readily recognizable part or derivative of the species.

(2) Unless the contrary intention appears, words and expressions used in this Act have the same meanings as they have in the Convention.

(3)³⁴ ³⁵Where it appears from the packaging, marking, labelling or accompanying documentation of a specimen that it is a part or derivation of a Schedule 1, 2 or 3 specimen, controlled native specimen or an exotic specimen, then unless it is a part or derivative exempted from the provisions of this Act under Section 14, it shall be presumed to be a part or derivative of a Schedule 1, 2 or 3 specimen, a controlled native specimen or an exotic specimen, as the case may be.

2. AMENDMENT OF SCHEDULES.

³⁶(1) The Minister shall, by notice in the National Gazette, amend Schedule 1, 2 or 6 in conformity with any amendments made to the Convention shall be adopted by Papua New Guinea.

(2) Subject to Subsection (3), the Minister may, by notice in the National Gazette, after consultation with the Management Authority acting on the advice of the relevant Scientific Authority, amend Schedule 4 or Schedule 5.

(3) A species listed in Schedule 1, 2 or 3 shall not be listed in Schedule 4 or Schedule 5.

2A. APPLICATION.

³⁷This Act applies to trade with any country, whether or not that country is a party to the Convention.

³⁴ Section 1 Subsection (3) inserted by No. 33 of 2003, s. 3.

³⁵ Section 1 Subsection (3) inserted by No. 33 of 2003, s. 3.

³⁶ Section 2 Substituted by No. 33 of 2003, s. 4.

2B. ACT BINDS THE STATE.

³⁸This Act binds the State.

3. SAVING OF OTHER LAWS.

The provisions of this Act are in addition to and not in derogation of the operation of any other law in force in the country.

³⁷ Section 2A Inserted by No. 33 of 2003, s. 4.

³⁸ Section 2B Inserted by No. 33 of 2003, s. 4.

PART IA. – REGULATION OF TRADE IN FAUNA AND FLORA.**3A. MANAGEMENT AUTHORITY.**

³⁹(1) For the purposes of the implementation of the Convention, the Management Authority is the Departmental Head of the department for the time being responsible for environment and conservation matters.

(2) The functions of the Management Authority are as follows: –

- (a) to be responsible for the implementation of the Convention in Papua New Guinea;
- (b) to cooperate with the relevant authorities of other countries in the implementation of their legislation relating to species conservation;
- (c) to issue and revoke authorization in accordance with the Convention;
- (d) to maintain records of international trade in specimen controlled by this Act;
- (e) to advise the Minister on any action to be taken for the implementation and enforcement of the Convention;
- (f) to communicate with the Secretariat and with other countries on scientific, administrative and enforcement issues under this Act and the Convention;
- (g) to make such reports to the Secretariat as may be required by Resolution of the parties to the Convention;
- (h) such other functions and duties as are conferred on it by this Act or any other law.

(3) The Management Authority may, by instrument in writing, delegate all or any of its powers under this Act, other than this power of delegation, to an officer of the National Public Service.

3B. SCIENTIFIC AUTHORITIES.

⁴⁰(1) For the purposes of the Convention, the Management Authority may designate a person, body or body of persons separate from and independent of the Management Authority as a Scientific Authority in relation to a particular species or category of species, as specified in the designation.

(2) The functions of a Scientific Authority, in relation to the species or category of species for which it is designated, are as follows: –

- (a) to advice on the issue of export permits, export licences, import permits and certificates of introduction from the sea;

³⁹ Section 3A Inserted by No. 33 of 2003, s. 5.

⁴⁰ Section 3B Inserted by No. 33 of 2003, s. 5.

- (b) to monitor the status of any native species listed in Schedule 2 and export data, and where necessary, recommend suitable remedial measures to limit the export of specimens of the species in order to maintain the species throughout its range at a level consistent with its role in the ecosystem and well above the level at which the species might become eligible for inclusion in Appendix I of the Convention;
- (c) to advise and make recommendations to the Management Authority on the suitability of an importer to house and care for living Schedule 1 specimens being imported or introduced from the sea;
- (d) to review available information on the population status, distribution, population trends, harvest and other biological and ecological factors, as appropriate, and trade information relating to species listed in Schedule 1, 2 and 3, and native species whether not included in Schedule 4;
- (e) to gather and analyse information on the biological status of native species affected by trade to assist in the preparation of proposals necessary to amend the Appendices to the Convention;
- (f) to review proposals to amend the Appendices submitted by other Parties and make recommendations as to how the State should address each proposal;
- (g) such other functions and duties as are conferred on it by this Act or any other law.

3C. APPOINTMENT OF INSPECTORS.

⁴¹(1) The Management Authority may, by instrument in writing, appoint an officer of the National Public Service to be an inspector for the purposes of this Act.

(2) The following persons are deemed to be inspectors for the purposes of this Act –

- (a) a police officer;
- (b) an officer of the Customs appointed under the *Customs Act 1951*;
- (c) a Quarantine Officer appointed under the *Quarantine Act 1953*;
- (d) a Quarantine Officer appointed under the National Quarantine and Inspection Authority Act 1997;
- (e) an Inspector appointed under the *Animal Disease and Control Act 1952*;
- (f) an Inspector of Plants appointed under the *Plant Disease and Control Act 1953*;
- (g) a fishery Officer appointed under the *Fisheries Management Act 1998*;
- (h) a ranger appointed under the *Fauna (Protection and Control) Act 1966*;

⁴¹ Section 3C Inserted by No. 33 of 2003, s. 5.

(3) A person appointed to be, or who is, an inspector by virtue of this section is not entitled to any payment in respect of that appointment or office.

3D. ISSUE OF AUTHORIZATIONS.

⁴²(1) Subject to Subsection (2), the Management Authority may, on application, issue, on such terms and conditions as may be prescribed –

- (a) an export permit to export a Schedule 1, 2 or 3 specimen or a controlled native specimen; or
- (b) and import permit to import a Schedule 1, 2 or 3 specimen; or
- (c) a re-export permit to export a Schedule 1, 2 or 3 specimen which has been imported into the country in accordance with this Act; or
- (d) a certificate to introduce from the sea a Schedule 1 or 2 specimen; or
- (e) an approval to import a living exotic specimen; or
- (f) an export licence to export a Schedule 2 specimen or a controlled native specimen that has been acquired in accordance with the terms and conditions of the export licence; or
- (g) such other authorization as may be required or permit under this Act,

in accordance with this Convention.

(2) Notwithstanding Subsection (1), the Management Authority shall not issue any authorization in respect of a specimen which has been taken or obtained in contravention of this Act or any other law.

(3) The decision of the Management Authority to issue or refuse to issue in authorization under Subsection (1) is final.

⁴² Section 3D Inserted by No. 33 of 2003, s. 5.

PART II. – TRADE IN SCHEDULE 1 SPECIMENS.

4. EXPORTATION.

(1)^{43 44}Subject to Section 14, a person shall not export an Schedule 1 specimen unless he has an export permit to do so issued by the Management Authority.

(2)^{45 46}The Management Authority shall not issue an export permit in respect of an Schedule 1 specimen unless—

- (a) it is satisfied that—
 - (i) the specimen was not obtained in contravention of a law in force in the country for the protection of fauna and flora; and
 - (ii)⁴⁷ if the specimen is a living animal—it will be prepared and shipped in a manner that will minimize the risk of injury, damage to its health or cruel treatment; and
 - (iii) the country to which the specimen is to be exported has issued an import permit for the importation of that specimen; and
- (b) it has been advised by the Scientific Authority that the exportation of the specimen will not be detrimental to the survival of the species involved.

5. IMPORTATION.

(1)^{48 49}Subject to Section 14, a person shall not import an Schedule 1 specimen unless he has, in respect of that specimen—

- (a) an export permit or a re-export permit issued by the country from which the specimen has been exported or re-exported; and
- (b) an import permit issued by the Management Authority.

(2)^{50 51}The Management Authority shall not issue an import permit in respect of an Schedule 1 specimen unless—

- (a) it is satisfied that the specimen is not to be used for primarily commercial purposes; and
- (b) it has been advised by the Scientific Authority that that Authority is satisfied that—

⁴³ Section 4 Subsection (1) amended by No. 33 of 2003, s. 7.

⁴⁴ Section 4 Subsection (1) amended by No. 33 of 2003, s. 7.

⁴⁵ Section 4 Subsection (2) amended by No. 33 of 2003, s. 7.

⁴⁶ Section 4 Subsection (2) amended by No. 33 of 2003, s. 7.

⁴⁷ Section 4 Subsection (2) amended by No. 33 of 2003, s. 7.

⁴⁸ Section 5 Subsection (1) amended by No. 33 of 2003, s. 8.

⁴⁹ Section 5 Subsection (1) amended by No. 33 of 2003, s. 8.

⁵⁰ Section 5 Subsection (2) amended by No. 33 of 2003, s. 8.

⁵¹ Section 5 Subsection (2) amended by No. 33 of 2003, s. 8.

- (i) the importation will be for purposes that are not detrimental to the survival of the species involved; and
- (ii)⁵² if the specimen is a living animal—the proposed recipient of the specimen is suitably equipped to house and care for it.

6. RE-EXPORTATION.

(1)^{53 54}A person shall not re-export an Schedule 1 specimen unless he has a re-export permit to do so issued by the Management Authority.

(2)^{55 56}The Management Authority shall not issue a re-export permit in respect of an Schedule 1 specimen unless it is satisfied that—

- (a) the specimen was not brought into the country in contravention of this Act; and
- (b)⁵⁷ if the specimen is a living animal—it will be prepared and shipped in a manner that will minimize the risk of injury, damage to its health or cruel treatment; and
- (c) the country to which the specimen is to be exported has issued an import permit for the importation of that specimen.

7. INTRODUCTION FROM THE SEA.

(1)^{58 59}A person shall not introduce into the country from the sea an Schedule 1 specimen unless he has a certificate to do so issued by the Management Authority.

(2)^{60 61}The Management Authority shall not issue a certificate for the introduction into the country from the sea of an Schedule 1 specimen unless—

- (a) it is satisfied that—
 - (i)⁶² if the specimen is a living animal—the proposed recipient of the specimen is suitably equipped to house and care for it; and
 - (ii) the specimen is not to be used for primarily commercial purposes; and
- (b) it has been advised by the Scientific Authority that the introduction will not be detrimental to the survival of the species involved.

⁵² Section 5 Subsection (2) amended by No. 33 of 2003, s. 8.

⁵³ Section 6 Subsection (1) amended by No. 33 of 2003, s. 9.

⁵⁴ Section 6 Subsection (1) amended by No. 33 of 2003, s. 9.

⁵⁵ Section 6 Subsection (2) amended by No. 33 of 2003, s. 9.

⁵⁶ Section 6 Subsection (2) amended by No. 33 of 2003, s. 9.

⁵⁷ Section 6 Subsection (2) amended by No. 33 of 2003, s. 9.

⁵⁸ Section 7 Subsection (1) amended by No. 33 of 2003, s. 10.

⁵⁹ Section 7 Subsection (1) amended by No. 33 of 2003, s. 10.

⁶⁰ Section 7 Subsection (2) amended by No. 33 of 2003, s. 10.

⁶¹ Section 7 Subsection (2) amended by No. 33 of 2003, s. 10.

⁶² Section 7 Subsection (2) amended by No. 33 of 2003, s. 10.

PART III. – TRADE IN SCHEDULE 2 SPECIMENS.

8. EXPORTATION.

⁶³(1) Subject to Section 14, a person shall not export Schedule 2 specimen unless –

- (a) he has an export permit to do so issued by the Management Authority; or
- (b) the export of the specimen is authorised under and in accordance with and export licence issued by the Management Authority.

(2) A person shall not export a Schedule 2 specimen to which the *Crocodile Trade (Protection) Act 1974* applies unless he has complied with requirements imposed by or under that Act in respect of that export.

(3) The Management Authority shall not issue an export permit in respect of a Schedule 2 specimen unless –

- (a) it is satisfied that –
 - (i) the specimen was not obtained in contravention of a law in force in the country for the protection of fauna and flora; and
 - (ii) if the specimen is a living animal – it will be prepared and shipped in a manner that will minimize the risk of injury damage to its health or cruel treatment; and
- (b) it has been advised by the relevant Scientific Authority that the exportation of the specimen will not be detrimental to the survival of the species involved.

(4) No export licence shall be issued under this section in respect of –

- (a) a living animal; or
- (b) any other specimen, where the relevant Scientific Authority has advised that an export licence should not be issued in respect of the specimen or class or category of specimens.

(5) The Management Authority shall not issue an export licence in respect of any other Schedule 2 specimen unless it is satisfied that –

- (a) the specimen was not obtained in contravention of a law in force in the country for the protection of fauna and flora; and
- (b) the applicant –
 - (i) will maintain accurate records of all transactions involving specimens in the manner and form specified by the Management Authority; and
 - (ii) will comply with conditions of the licence.

⁶³ Section 8 Substituted by No. 33 of 2003, s. 12.

9. IMPORTATION.

⁶⁴Subject to Section 14, a person shall not import an Schedule 2 specimen unless he has an export permit or re-export permit issued by the country from which the specimen has been exported or re-exported.

10. RE-EXPORTATION.

(1)⁶⁵ ⁶⁶A person shall not re-export an Schedule 2 specimen unless he has a re-export permit to do so issued by the Management Authority.

(2)⁶⁷ ⁶⁸The Management Authority shall not issue a re-export permit in respect of an Schedule 2 specimen unless it is satisfied that—

- (a) the specimen was not brought into the country in contravention of this Act; and
- (b)⁶⁹ if the specimen is a living animal—it will be prepared and shipped in a manner that will minimize the risk of injury, damage to its health or cruel treatment.

11. INTRODUCTION FROM THE SEA.

(1)⁷⁰ ⁷¹A person shall not introduce into the country from the sea an Schedule 2 specimen unless he has a certificate to do so issued by the Management Authority.

(2)⁷² ⁷³The Management Authority shall not issue a certificate for the introduction into the country from the sea of an Schedule 2 specimen unless—

- (a) it is satisfied that, if the specimen is living, it will be prepared so as to minimize the risk of injury, damage to its health or cruel treatment; and
- (b) it has been advised by the Scientific Authority that the introduction will not be detrimental to the survival of the species involved.

⁶⁴ Section 9 Amended by No. 33 of 2003, s. 13.

⁶⁵ Section 10 Subsection (1) amended by No. 33 of 2003, s. 14.

⁶⁶ Section 10 Subsection (1) amended by No. 33 of 2003, s. 14.

⁶⁷ Section 10 Subsection (2) amended by No. 33 of 2003, s. 14.

⁶⁸ Section 10 Subsection (2) amended by No. 33 of 2003, s. 14.

⁶⁹ Section 10 Subsection (2) amended by No. 33 of 2003, s. 14.

⁷⁰ Section 11 Subsection (1) amended by No. 33 of 2003, s. 15.

⁷¹ Section 11 Subsection (1) amended by No. 33 of 2003, s. 15.

⁷² Section 11 Subsection (2) amended by No. 33 of 2003, s. 15.

⁷³ Section 11 Subsection (2) amended by No. 33 of 2003, s. 15.

PART IV.⁷⁴ – TRADE IN SCHEDULE 3 SPECIMENS.

12. EXPORTATION.

⁷⁵(1) A person shall not export a Schedule 3 specimen unless he has –

- (a) where the specimen to which that specimen belongs has been included in Appendix III to the Convention by Papua New Guinea – an export permit to do so issued by the Management Authority; or
- (b) where the species to which that specimen belongs has been included in Appendix III to the Convention by another country – a certificate of origin issued by the Management Authority.

(2) The Management Authority shall not issue an export permit in respect of a Schedule 3 specimen unless –

- (a) it is satisfied that –
 - (i) the specimen was not obtained in contravention of a law in force in the country for the protection of fauna and flora; and
 - (ii) if the specimen is a living animal – it will be prepared and shipped in a manner that will minimize the risk of injury, damage to its health or cruel treatment; and
- (b) where the species to which that specimen belongs has been included in Appendix III to the Convention by Papua New Guinea – it has been advised by the relevant Scientific Authority that the exportation of the specimen will not be detrimental to the survival of the species involved.

13. IMPORTATION.

⁷⁶A person shall not import a Schedule 3 specimen unless he has –

- (a) where the species to which that specimen belongs has been included in Appendix III to the Convention by the country of export – an export permit to do so issued by that country; or
- (b) where the species to which that specimen belongs has been included in Appendix III to the Convention by another country, including Papua New Guinea – a certificate of origin issued by the country of export.

⁷⁴ Part IV Substituted by No. 33 of 2003, s. 16.

⁷⁵ Section 12 Substituted by No. 33 of 2003, s. 16.

⁷⁶ Section 13 Substituted by No. 33 of 2003, s. 16.

PART IVA.⁷⁷ – EXPORTATION OF CONTROLLED NATIVE SPECIMENS.**13A. EXPORTATION.**

⁷⁸(1) A person shall not export a controlled native specimen unless –

- (a) he has an export permit to do so issued by the Management Authority; or
- (b) the export of the specimen is authorised under and in accordance with an export licence issued by the Management Authority.

(2) The Management Authority shall not issue an export permit in respect of a controlled native specimen unless –

- (a) it is satisfied that –
 - (i) the specimen was not obtained in contravention of a law in force in the country for the protection of fauna and flora; and
 - (ii) if the specimen is a living animal –it will be prepared and shipped in a manner that will minimize the risk of injury, damage to its health or cruel treatment; and

- (b) it has been advised by the relevant Scientific Authority that the exportation of the specimen will not be detrimental to the survival of the species involved.

(3) No export licence shall be issued under this section in respect of –

- (a) a living animal; or
- (b) any other specimen –where the relevant Scientific Authority has advised that an export licence should not be issued in respect of that specimen or class or category of specimens.

(4) The Management Authority shall not issued an export licence in respect of a controlled native specimen unless it is satisfied that –

- (a) the specimen was not obtained in contravention of a law in force in the country for the protection of fauna and flora; and
- (b) the applicant –
 - (i) will maintain accurate records of all transactions involving specimens in the manner and form specified by the Management Authority; and
 - (ii) will comply with the conditions of the licence.

⁷⁷ Part IVA Inserted by No. 33 of 2003, s. 16.

⁷⁸ Section 13A Inserted by No. 33 of 2003, s. 16.

PART IVB.⁷⁹ – IMPORTATION OF LIVING EXOTIC SPECIMENS.

13B. IMPORTATION.

⁸⁰(1) A person shall not import a living exotic specimen without the written approval of the Management Authority.

(2) The Management Authority shall not give written approval in respect of a living exotic specimen unless –

- (a) where the specimen is imported for use as a biological control agent –the Management Authority has assessed the likely impact of the importation of the specimen on other specimen of fauna or flora that are naturally occurring in Papua New Guinea and is satisfied that in all the circumstances the importation of the specimen is justified; or
- (b) in any other case –the Management Authority has assessed the risks associated with the proposed importation of the specimen will not be detrimental to the survival of other native species.

(3) The requirements of Subsection (1) apply in addition to any requirements imposed under the *Quarantine Act 1953* or *National Agriculture Quarantine and Inspection Authority Act 1997*, the *Animal Disease and Control Act 1952* or the *Plant Disease and Control Act 1953*.

(4) Written approval for the purposes of this section may be given in the form of an endorsement of a letter accompanying a permit issued under the *Quarantine Act 1953* or *National Agriculture Quarantine and Inspection Authority Act 1997*, the *Animal Disease and Control Act 1952* or the *Plant Disease and Control Act 1953*.

⁷⁹ Part IVB Inserted by No. 33 of 2003, s. 16.

⁸⁰ Section 13B Inserted by No. 33 of 2003, s. 16.

PART IVC.⁸¹ – ENFORCEMENT.**13C. POWERS AND FUNCTIONS OF INSPECTORS.**

⁸²(1) Subject to any direction of the Management Authority, an inspector may, without a warrant –

- (a) at any time, and with or without assistants, enter on and search any land, building aircraft, vessel or vehicle on which or in which there is reasonable cause or believe that there is a specimen intended for export or re-export, or which has been imported or introduced from the sea, in contravention of this Act; and
- (b) search any baggage, or require a person to furnish information concerning, or to produce for inspection, any specimen in his information relating to that specimen; and
- (c) where the inspector has reasonable cause to believe that a specimen is intended for export or re-export, or has been imported or introduced from the sea, in contravention of this Act –
 - (i) inspect, take samples from and mark the specimen; and
 - (ii) seize and detain the specimen for further examination and inspection; and
 - (iii) take documents, electronic records or other information relating to the specimen; and
- (d) where the inspector has reasonable cause to suspect that a person has committed an offence against this Act – require him to state his name and address; and
- (e) where the inspector has reasonable grounds to believe that an offence against this Act has been committed, and a person may be able to give information about the offence – require that person to answer a question about the offence.

(2) Where any building referred to in Subsection (1) consists of a private dwelling-house, an inspector shall not enter other than at a reasonable time unless he has previously obtained the permission of the owner or occupier of the private dwelling-house, or is acting under a search warrant obtained under the *Search Act 1977*.

(3) An inspector may, subject to the *Arrest Act 1977*, arrest a person whom he has reasonable cause to believe has committed an offence against this Act.

(4) An inspector exercising a power under Subsection (1) may require any person to assist him, and that person shall be deemed to be an inspector for the purposes for and time during which he is required to act.

⁸¹ Part IVC Inserted by No. 33 of 2003, s. 16.

⁸² Section 13C Inserted by No. 33 of 2003, s. 16.

13D. OBSTRUCTION OF INSPECTORS, ETC.

⁸³A person who –

- (a) fails to comply with a lawful requirement of an inspector under Section 13C and 13D; or
- (b) hinders or obstructs an inspector in the execution of his powers or duties; or
- (c) refuses an inspector entry to any land, building, aircraft, vessel or vehicle which the inspector may lawfully enter; or
- (d) impersonates an inspector; or
- (e) alters, defaces, erases or removes a mark placed on a specimen by an inspector under Section 13C(1)(c)(i),

is guilty of an offence.

Penalty: in the case of a corporation –a fine not exceeding K5, 000. 00;

in the case of any other person –a fine not exceeding K2,000.00 or imprisonment not exceeding five years, or both.

13E. OFFENCES OF IMPORT, EXPORT ETC.

⁸⁴(1) A person who imports, introduces from the sea, exports or re-exports a Schedule 1 specimen without being the holder of valid permit or certificate in respect of that import, export or re-export, as the case may be, is guilty of an offence.

Penalty: in the case of a corporation –a fine not exceeding K10, 000.00;

in the case of any other person –a fine not exceeding K5, 000. 00 or imprisonment not exceeding five years, or both.

(2) A person who –

- (a) exports or re-exports a Schedule 2 specimen or a Schedule 3 specimen without –
 - (i) being the holder of a valid permit in respect of that export, or re-export, as the case may be; or
 - (ii) the export of that specimen being authorised under and in accordance with an export licence in respect of that export, or re-export, as the case may be; or
- (b) exports a controlled native specimen without –
 - (i) being the holder of a valid permit in respect of that export; or
 - (ii) the export of that specimen being authorised under and in accordance with a valid export licence in respect of that export; or

⁸³ Section 13D Inserted by No. 33 of 2003, s. 16.

⁸⁴ Section 13E Inserted by No. 33 of 2003, s. 16.

- (c) exports a Schedule 2 specimen to which the *Crocodile Trade (Protection) Act 1974* applies, without complying with any of the requirements imposed by or under that Act in respect of the export of that specimen; or
- (d) imports a living exotic specimen without the approval of the Management Authority in respect of the import of that specimen,

is guilty of an offence.

Penalty: in the case of a corporation –a fine not exceeding K10, 000. 00;
in the case of any other person –a fine not exceeding K5, 000. 00 or imprisonment not exceeding five years, or both.

(3) A person who imports, introduces from the sea, exports or re-exports any specimen otherwise than in accordance with any of the conditions of an authorization under this Act is guilty of an offence.

Penalty: in the case of a corporation –a fine not exceeding K10, 000. 00;
in the case of any other person –a fine not exceeding K5, 000. 00 or imprisonment not exceeding five years, or both.

(4) In proceedings for an offence against Subsection (1), (2) or (3), the onus of proving –

- (a) that a valid authorization was issued in respect of the import, export, re-export or introduction from the sea, as the case may be; or
- (b) that the circumstances of the import, export, re-export or introduction from the sea were in accordance with the conditions of the authorization,

lies on the person charged.

13F. OFFENCES RELATING TO POSSESSION.

⁸⁵(1) A person who, without reasonable excuse (proof of which is on him) –

- (a) conveys; or
- (b) has in his possession; or
- (c) has under his control,

any specimen of a specimen for which an export permit, re-export permit, or export licence is required and in respect of which no export permit, re-export permit or export licence has been issued, with intention to export or re-export that specimen, is guilty of an offence.

Penalty: in the case of a corporation –a fine not exceeding K10, 000. 00;
in the case of any other person –a fine not exceeding K5,000.00 or imprisonment not exceeding five years, or both.

⁸⁵ Section 13F Inserted by No. 33 of 2003, s. 16.

(2) For the purpose of Subsection (1), a person is presumed in the absence of proof to the contrary to have a specimen under his control or in his possession with intention to export or re-export that specimen if the specimen is –

- (a) packaged or otherwise prepared for transportation; and
- (b) bears on itself or on its package or container an address of a place outside Papua New Guinea.

(3) A person who is in possession of a Schedule 1, 2 or 3 specimen or an exotic specimen which has been imported or introduced from the sea without the relevant authorization issued by the Management Authority is guilty of an offence.

Penalty: in the case of a corporation –a fine not exceeding K10, 000. 00;
in the case of any other person –a fine not exceeding K5, 000. 00 or imprisonment not exceeding five years, or both.

(4) The onus of proving that a specimen referred to in Subsection (3) was lawfully imported or introduced from the sea lies on the person asserting that fact.

13G. CUSTOMS OFFENCES.

⁸⁶Notwithstanding the provisions of any regulation made under the *Customs Act 1951* relating to the importation or exportation of goods, a specimen of a species to which this Act applies which is traded otherwise than in accordance with this Act is a prohibited import or export, as the case may be, under the *Customs Act 1951*.

13H. FALSE INFORMATION.

⁸⁷A person who, for the purpose of this Act, provides to the Management Authority, a Scientific Authority or an inspector information –

- (a) that is false or misleading in a material particular; or
- (b) that he does not have reasonable cause to believe is correct,

is guilty of an offence.

Penalty: in the case of a corporation –a fine not exceeding K10, 000. 00;
in the case of any other person –a fine not exceeding K5, 000. 00 or imprisonment not exceeding five years, or both.

13I. SEIZURE AND DISPOSAL.

⁸⁸(1) An inspector may seize any specimen, document, electronic record, vessel, vehicle, aircraft or other goods or equipment that he has reasonable cause to believe has been used in connection with the commission of an offence against the Act.

⁸⁶ Section 13G Inserted by No. 33 of 2003, s. 16.

⁸⁷ Section 13H Inserted by No. 33 of 2003, s. 16.

⁸⁸ Section 13I Inserted by No. 33 of 2003, s. 16.

(2) Any item, other than a specimen, seized under Subsection (1) may be retained –

- (a) until the expiration of sixty days from the date of seizure; or
- (b) where proceedings for the offence have been instituted within sixty days of the date of seizure –until the proceedings have been determined, including the period of any appeal.

(3) The Management Authority may direct that a specimen seized under Subsection (1) or Section 13D(b) shall be disposed of in the manner directed by the Management Authority or as prescribed, provided that the disposal shall comply with any requirement imposed by or under the *Quarantine Act 1953* or *National Agriculture Quarantine and Inspection Authority Act 1997*.

13J. PROSECUTIONS.

⁸⁹(1) An offence against this Act shall be prosecuted summarily before a Grade 5 magistrate.

(2) An information for an offence against this Act may be laid, after consultation with the Public Prosecutor, by –

- (a) an officer of the Customs or a public officer; or
- (b) an inspector, other than a person referred to in Paragraph (a), acting on the direction of the Management Authority.

(3) Limitations under Section 36 of the *District Courts Act 1963* for laying an information shall not apply.

(4) In proceedings for an offence against this Act, an averment in an information that a specimen is a specimen of a particular species, in the absence of proof to the contrary, constitutes proof that the specimen was a specimen of that species.

(5) Upon conviction of a person for an offence against the Act, the court may, in addition to imposing the penalty prescribed, order the forfeiture of any property, including any document, electronic record, vessel, vehicle, aircraft or other goods or equipment retained as evidence and which was used in the commission of the offence and the forfeiture thing becomes the property of the State and shall be disposed of as directed by the Departmental Head of the Department responsible for environment and conservation matters in accordance with the *Public Finances (Management) Act 1995*.

13K. LIABILITY OF COMPANY OFFICERS.

⁹⁰(1) Notwithstanding anything in the *Criminal Code Act 1974*, if corporation contravenes a provision of this Act, each of the executive officers and directors shall,

⁸⁹ Section 13J Inserted by No. 33 of 2003, s. 16.

⁹⁰ Section 13K Inserted by No. 33 of 2003, s. 16.

without affecting the liability of the corporation, be deemed to have contravened that provision.

(2) It is a defence for a person who has been charged with an offence by virtue of Subsection (1) to prove that –

- (a) he was not in a position to influence the conduct of the corporation in relation to the offence; and
- (b) if the person was in a position to influence the conduct of the corporation in relation to the offence –the person took all reasonable steps to ensure that the corporation complied with the provision.

(3) For the purposes of this section, “executive officer” means a person who is a member of the governing body of the corporation, or is a senior officer responsible for the management of the corporation.

PART V. – MISCELLANEOUS.**14. EXEMPTIONS AND OTHER SPECIAL PROVISIONS RELATING TO TRADE.**

⁹¹The exemptions and other special provisions shall be prescribed..

15. IMMUNITY OF OFFICERS.

⁹²The Management Authority, an inspector, or a delegate of the Management Authority is not personally liable for any matter or thing done by him in good faith and without negligence in the exercise of his powers or the performance of his duties under this Act.

16⁹³. [REPEALED.]**17. REGULATIONS.**

The Head of State, acting on advice, may make regulations, not inconsistent with this Act, prescribing all matters that by this Act are required or permitted to be prescribed or that are necessary or convenient to be prescribed for carrying out or giving effect to this Act and, in particular, for prescribing–

- (a) the forms to be used for the purposes of this Act and the Convention; and
- (b)⁹⁴ the issue, suspension, revocation, invalidation, period of validity and terms and conditions of authorizations; and
- (c)⁹⁵ the disqualification of persons either temporarily or permanently from obtaining authorizations; and
- (d)⁹⁶ the means of appeal (if any) against a decision of the Management Authority in relation to any matter under this Act; and
- (e)⁹⁷ matters regarding specimens taken or acquired before this Act came into operation; and
- (f)⁹⁸ exemptions from all or any of the requirements of this Act and for other special provisions relating to trade, in accordance with Article VII of the Convention; and
- (g)⁹⁹ the means of identification of inspectors appointed under this Act; and

⁹¹ Section 14 Amended by No. 33 of 2003, s. 17.

⁹² Section 15 Substituted by No. 33 of 2003, s. 18.

⁹³ Section 16 Repealed by No. 33 of 2003, s. 19.

⁹⁴ Section 17 Amended by No. 33 of 2003, s. 20.

⁹⁵ Section 17 Amended by No. 33 of 2003, s. 20.

⁹⁶ Section 17 Amended by No. 33 of 2003, s. 20.

⁹⁷ Section 17 Amended by No. 33 of 2003, s. 20.

⁹⁸ Section 17 Amended by No. 33 of 2003, s. 20.

⁹⁹ Section 17 Amended by No. 33 of 2003, s. 20.

- (h)¹⁰⁰ the registration of traders in Schedule 1, 2, 3 or 4 specimens, and the duties of registered traders; and
- (i)¹⁰¹ the process of exportation, importation, re-exportation and information from the sea under this Act; and
- (j)¹⁰² the inspection by inspectors of specimens in transshipment, and the extension of powers of inspectors to that inspection; and
- (k)¹⁰³ the shipping of living animal specimens; and
- (l)¹⁰⁴ the inspection, taking samples from and marking of specimens; and
- (m)¹⁰⁵ matters relating to artificial propagation, captive breeding and hybridisation; and
- (n)¹⁰⁶ the care, disposal and destruction of specimens seized under this Act, the disposal of specimens which have died or deteriorated before arrival in Papua New Guinea, and the recovery of costs associated with that care, disposal and destruction; and
- (o)¹⁰⁷ the manner in which the performance of functions of the Scientific Authorities shall be carried out; and
- (p)¹⁰⁸ the means of determining whether trade in a native species, whether or not it is CITES-listed, is detrimental to the survival of the species; and
- (q)¹⁰⁹ the means of limiting trade in a species naturally occurring in Papua New Guinea so as to minimize the risk of threat to its survival; and
- (r)¹¹⁰ the process by which the State may propose amendments to Appendix III of the Convention; and
- (s)¹¹¹ the keeping of records and registers relating to matters under this Act by Management Authority and the Scientific Authorities; and
- (t)¹¹² the furnishing of returns of information in respect of any matter under this Act; and
- (u)¹¹³ the fees to be paid in respect of –

¹⁰⁰ Section 17 Amended by No. 33 of 2003, s. 20.

¹⁰¹ Section 17 Amended by No. 33 of 2003, s. 20.

¹⁰² Section 17 Amended by No. 33 of 2003, s. 20.

¹⁰³ Section 17 Amended by No. 33 of 2003, s. 20.

¹⁰⁴ Section 17 Amended by No. 33 of 2003, s. 20.

¹⁰⁵ Section 17 Amended by No. 33 of 2003, s. 20.

¹⁰⁶ Section 17 Amended by No. 33 of 2003, s. 20.

¹⁰⁷ Section 17 Amended by No. 33 of 2003, s. 20.

¹⁰⁸ Section 17 Amended by No. 33 of 2003, s. 20.

¹⁰⁹ Section 17 Amended by No. 33 of 2003, s. 20.

¹¹⁰ Section 17 Amended by No. 33 of 2003, s. 20.

¹¹¹ Section 17 Amended by No. 33 of 2003, s. 20.

¹¹² Section 17 Amended by No. 33 of 2003, s. 20.

¹¹³ Section 17 Amended by No. 33 of 2003, s. 20.

s. 17.

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- (i) services provided and obligations carried out by the Management Authority and Scientific Authorities under this Act; and
 - (ii) authorizations issued under this Act, other than authorization issued to the Management Authority or a Scientific Authority; and
- (v)¹¹⁴ any other fees, charges, taxes, management levies, rents or royalties payable in respect of any matter under this Act; and
- (w)¹¹⁵ penalties of fines not exceeding, K10,000.00 or imprisonment not exceeding five years for offences against the regulations.

¹¹⁴ Section 17 Amended by No. 33 of 2003, s. 20.

¹¹⁵ Section 17 Amended by No. 33 of 2003, s. 20.

SCHEDULE 1

¹¹⁶ Sec.1(1)	
Summary of Species included in Appendix 1 of the Convention	
1. The taxa listed in the table to this Schedule are the taxa included in Appendix I of the Convention in accordance with the provisions of Articles XV and XVI of the Convention, and no other species.	
2. In the Table to this Schedule –	
(a)	the list entitled “Taxon” in the first column includes the scientific name of the listed taxa; and
(b)	the list entitled “Common name” in the second column is included for information only and the scientific name determines whether a species is included on the list; and
(c)	the list entitled “Notation” in the third column describes the specimens belonging to that species that are included in that entry.
3. Where there is no entry in the third column of the table to this Schedule, the definition of a specimen for that particular species is taken to be a specimen that is either –	
(a)	that species; or
(b)	a part or derivative of that species,
or both.	
4. The list of taxa in the first column of the table to this Schedule is organized according to the following classifications: –	
“Vertebrate Animals	
Phylum: Chordata (Chordates)	
Class: Actinopterygii (Ray-finned fishes)	Family within Order, in alphabetic order.
Class: Amphibia (Amphibians)	Family within Order, in alphabetic order.

¹¹⁶ Schedule 1 Substituted by No. 33 of 2003, s. 21.

116Sec.1(1)	
Summary of Species included in Appendix 1 of the Convention	
Class: Aves (Birds)	Family within Order, in alphabetic order.
Class: Chondrichthyes (Cartilaginous fishes)	Family within Order, in alphabetic order.
Class: Mammalia (Mammals)	Family within Order, in alphabetic order.
Class: Osteichthyes (Bony fishes)	Family within Order, in alphabetic order.
Class: Reptilia (Reptiles)	Family within Order, in alphabetic order.
“Invertebrate Animals	
Phylum: Annelida (Annelid worms)	
Class: Hirudinoidea (Leeches)	Family within Order, in alphabetic order.
Phylum: Arthropoda (Arthropods)	
Class: Arachnida (Arachnids)	Family within Order, in alphabetic order.
Class: Insecta (Insects)	Family within Order, in alphabetic order.
Phylum: Cnidaria (Coral-like animals)	
Class: Anthozoa (Corals, sea anemones)	Family within Order, in alphabetic order.
Class: Hydrozoa (Sea ferns, fire corals)	Family within Order, in alphabetic order.
Phylum: Echinodermata	
Class: Holothuroidea (sea cucumbers)	Family within Order, in alphabetic order.
Phylum: Mollusca (Molluscs)	
Class: Pelecypoda (=Bivalvia)	Family within Order, in alphabetic order.

¹¹⁶ Sec.1(1)	
Summary of Species included in Appendix 1 of the Convention	
Class: Gastropoda (Snails)	Family within Order, in alphabetic order.
“Plants:	Families, in alphabetic order.
5. The following general interpretations shall be applied: –	
(a) for animalia species, in relation to hybrids –	
(i)	where at least one of the animals in the previous four generations is of a species included in Appendix I of the Convention, hybrids shall be treated as specimens of species included in Appendix I; and
(ii)	where at least one of the animals in the previous four generations is of a species included in Appendix II of the Convention, and there are no specimens of a species included in Appendix I of the Convention in that lineage, hybrids shall be treated as specimens of species included in Appendix II of the Convention; and
(iii)	where at least one of the animals in the previous four generations is of a species included in Appendix III of the Convention, and there are no specimens of a species included in Appendix I or Appendix II of the Convention in that lineage, hybrids shall be treated as specimens of species included in Appendix III of the Convention;
(b) for plantae species –	
(i)	unless otherwise noted, listings in Appendix II and Appendix III of the Convention include all parts and derivatives except –
	(A) seeds, spores and pollen (including pollinia); and
	(B) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers; and
	(C) cut flowers of artificially propagated plants; and
(ii)	in relation to hybrids –

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
(A) where at least one of the plants in the recent lineage of the hybrid is of a species included in Appendix I or Appendix II of the Convention, the hybrid shall be treated as a specimen of species included in Appendix II of the Convention; and		
(B) where at least one of the plants in the recent lineage of the hybrid is of a species included in Appendix III of the Convention, and there are no specimens of a species listed in Appendix I or Appendix II of the Convention in the lineage, the hybrid shall be treated as a specimen of a species included in Appendix III of the Convention.		
Taxon	Common Name	Notation
Altiphrynoides spp. (formerly included in Nectophrynoides spp.)	African viviparous toads	The entire genus is listed.
Atelopus zeteki	Zetek's Frog, (Panamanian), Golden Arrow Poison Frog	
Bufo periglenes	Monte Verde Golden Toad	
Bufo superciliaris	Cameroon Toad	
Nectophrynoides spp.	African viviparous toads	The entire genus is listed.
Nimbaphrynoides spp. (formerly included in Nectophrynoides spp.)	African viviparous toads	The entire genus is listed.
Spinophrynoides spp. (formerly included in Nectophrynoides spp)	African viviparous	The entire genus is listed.

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Dyscophus antongilii	Tomato Frog	
Andrias spp. (includes generic synonym Megalobatrachus)	Giant Salamanders	The entire genus is listed.
Anas aucklandica (includes synonyms Anas chlorotis and Anas nesiotis)	Brown Teal, Auckland Island Flightless Teal, Campbell Island Flightless Teal, Campbell Island Brown Teal, New Zealand Brown Teal	
Anas laysanensis (also referenced as Anas platyrhynchos laysanensis)	Laysan Duck, Laysan Teal	
Anas oustaleti (probably a hybrid between Anas platyrhynchos and Anas superciliosa)	Marianas Duck, Island Duck, Oustalet's Grey Mallard, Mariana's Mallard	
Branta canadensis leucopareia	Aleutian Goose, Canada Goose	
Branta sandvicensis	Hawaiian Goose, Nene Duck	
Cairina scutulata	White-winged Wood Duck	
Glaucis dohrnii (formerly included in genus Ramphodon)	Hook-billed Hermit (hummingbird)	
Larus relictus	Relict Gull, Khar Gull	
Numenius borealis	Eskimo Curlew	
Numenius tenuirostris	Slender Curlew, Billed Curlew, Long-billed Curlew	
Tringa guttifer	Nordmann's Greenshank, Spotted Greenshank	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Ciconia boyciana (also referenced as Ciconia ciconia boyciana)	Japanese Stork, White Oriental Stork	
Jabiru mycteria	Jabiru	
Mycteria cinerea	Milky Wood Stork	
Geronticus eremita	Northern Bald Ibis, Hermit Ibis	
Nipponia nippon	Japanese Crested Ibis	
Caloenas nicobarica	Nicobar Pigeon, Nicobar Dove	
Ducula mindorensis	Mindoro Imperial Pigeon, Mindoro Zone-tailed Pigeon	
Aceros nipalensis	Rufous-necked Hornbill	
Aceros subruficollis	Plain-pouched Hornbill, Blyth's Hornbill	
Buceros bicornis	Great Pied Hornbill, Great Indian Hornbill	
Buceros vigil (formerly included in genus Rhinoplax)	Helmeted Hornbill	
Aquila adalberti (also referenced as Aquila heliaca adalberti)	Spanish Imperial Eagle	
Aquila heliaca	Imperial Eagle	
Chondrohierax uncinatus wilsonii (also referenced as Chondrohierax wilsonii)	Cuban Hook-billed Kite	
Haliaeetus albicilla	White-tailed (Sea) Eagle, Grey Sea Eagle	The entire species is listed, however, a subspecies with an earlier date of first listed separately.

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Haliaeetus albicilla groenlandicus	Greenland White-tailed Sea-eagle	
Haliaeetus leucocephalus	(American) Bald Eagle	The entire genus is listed, however, subspecies with an earlier date of first listing are listed separately.
Haliaeetus leucocephalus alascanus	Northern Bald Eagle	
Haliaeetus leucocephalus leucocephalus	Southern Bald Eagle	
Harpia harpyja	Harpy Eagle	
Pithecophaga jefferyi	Philippine Eagle, Monkey-eating Eagle	
Gymnogyps californianus	Californian Condor	
Vultur gryphus	Andean Condor	
Falco araea	Seychelles Kestrel	
Falco jugger	Laggar Falcon	
Falco newtoni	Aldabra Kestrel	Populations of Seychelles only.
Falco peleginoides (also referenced as Falco peregrinus babylonicus and Falco peregrinus peleginoides)	Barbary Falcon	
Falco peregrinus	Peregrine Falcon	
Falco punctatus	Mauritius Kestrel	
Falco rusticolus	Gyrfalcon	
Crax blumenbachii	Red-billed Curassow	

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Summary of Species included in Appendix 1 of the Convention		
Mitu mitu (also referenced as Crax mitu mitu)	Mitu, Razor-billed Curassow	
Oreophasis derbianus	Horned Guan	
Penelope albipennis	White-winged Guan	
Pipile jacutinga (formerly included in genus Aburria)	Black-faced Piping, Black-fronted Piping Guan, Black-fronted Curassow Jacutinga	
Pipile pipile (formerly included in genus Aburria; also referenced as Pipile pipile pipile)	Trinidad White-headed Curassow, Trinidad White-headed Piping Guan	
Macrocephalon maleo	Maleo Megapode	
Catreus wallichii	Cheer Pheasant	
Colinus virginianus ridgwayi	Masked Bobwhite	
Crossoptilon crossoptilon	White-eared or Tibetan-eared Pheasant	
Crossoptilon harmani (formerly included in species Crossoptilon crossoptilon)	Elwes's Eared Pheasant	
Crossoptilon mantchuricum	Brown-eared Pheasant	
Lophophorus impejanus	Himalayan Monal, Monal Pheasant	
Lophophorus lhuysii	Chinese Monal Pheasant	
Lophophorus sclateri	Sclater's Monal Pheasant	
Lophura edwardsi	Edward's Pheasant	
Lophura imperialis	Imperial Pheasant	
Lophura swinhoii	Swinhoe's Pheasant	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Polyplectron emphanum	Palawan Peacock-pheasant	
Rheinardia ocellata (includes synonym Rheinardia nigrescens)	Rheinard's Crested Argus, Crested Argus Pheasant	
Syrmaticus ellioti	Elliot's Pheasant	
Syrmaticus humiae	Bar-tailed Pheasant, Mrs Hume's Pheasant	
Syrmaticus mikado	Mikado Pheasant	
Tetraogallus caspius	Caspian Snowcock	
Tetraogallus tibetanus	Tibetan Snowcock	
Tragopan blythii	Blyth's Tragopan	
Tragopan caboti	Cabot's Tragopan	
Tragopan melanocephalus	Western Tragopan	
Tympanuchus cupido attwateri	Attwater's Greater Prairie Chicken	
Grus Americana	Whooping Crane	
Grus canadensis nesiototes	Cuba Sandhill Crane	
Grus canadensis pulla	Mississippi Sandhill Crane	
Grus japonensis	Japanese Crane, Manchurian Crane, Red-crowned Crane	
Grus leucogeranus	Siberian White Crane	
Grus monachal	Hooded Crane	
Grus nigricollis	Black-necked Crane	
Grus vipio	White-naped Crane	
Ardeotis nigriceps (also referenced as Choriotis nigriceps)	Great Indian Bustard	
Chlamydotis undulata	Houbara Bustard	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Eupodotis bengalensis (also referenced as Houbaropsis bengalensis)	Bengal Florican, Bengal Bustard, Great Bustard	
Gallirallus sylvestris (also referenced as Tricholimnas sylvestris)	Lord Howe Island Wood Rail	
Rhynchotos jubatus	Kagu	
Atrichornis clamosus	Noisy Scrub-bird, Western Scrub-bird	
Cotinga maculata	Spotted Cotinga, Banded Cotinga	
Xipholena atropurpurea	White-winged Cotinga	
Carduelis cucullata (formerly included in genus Spinus)	Red Siskin	
Pseudochelidon sirintarae	White-eyed River Martin	
Agelaius flavus (includes generic synonym Xanthopsar)	Saffron-cowled Blackbird	
Lichenostomus melanops cassidix (also referenced as Meliphaga cassidix)	Helmeted Honeyeater	
Dasyornis longirostris (also referenced as Dasyornis brachypterus longirostris)	Western Bristlebird	
Picathartes gymnocephalus	Bare-headed, White-necked Rockfowl, White-necked Bald Crow	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Picathartes oreas	Gray-necked, Red-Headed Rockfowl, Grey-Necked Bald Crow	
Pitta gurneyi	Gurney's Pitta	
Pitta kochi	Koch's Pitta	
Leucopsar rothschildi	Rothschild's Starling, Myna	
Zosterope albogularis	Silvereye, White-chested White-eye, Norfolk Island White-eye	
Fregata andrewsi	Andrew's Frigatebird, Christmas Island Frigatebird	
Pelecanus crispus	Dalmatian Pelican	
Papasula abbotti (also referenced as Sula abbotti)	Abbott's Booby	
Campephilus imperialis	Imperial Woodpecker	
Dryocopus javensis richardsi	Tristram's White-bellied Woodpecker	
Podilymbus gigas	Atitlan (Pied-billed) Grebe, Giant Pied-billed Grebe	
Diomedea albatrus	Short-tailed Albatross, Stellar's Albatross	
Amazona arausiaca	Red-necked Amazon Parrot	
Amazona barbadensis	Yellow-shouldered Amazon Parrot	
Amazona brasiliensis	Red-tailed Amazon Parrot	
Amazona guildingii	St. Vincent Amazon Parrot	

¹¹⁶ Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Amazona imperialis	Imperial Amazon Parrot, Sisserou	
Amazona leucocephala	Cuban Parrot, Bahaman Parrot	
Amazona ochrocephala auropalliata	Yellow-naped Parrot	
Amazona ochrocephala belizensis	Yellow-crowned Parrot	
Amazona ochrocephala caribaea	Yellow-crowned Parrot	
Amazona ochrocephala oratrix	Yellow-crowned Parrot	
Amazona ochrocephala parvipes	Yellow-crowned Parrot	
Amazona ochrocephala tresmariae	Yellow-crowned Parrot	
Amazona pretrei	Red-spectacted Amazon Parrot	
Amazona rhodocorytha (also referenced as Amazona dufresniana rhodocorytha)	Red-browed Amazon Parrot	
Amazona tucumana	Tucuman Amazon Parrot	
Amazona versicolor	St. Lucia Amazon Parrot	
Amazona vinacea	Vinaceous Amazon Parrot	
Amazona viridigenalis	Red-Crowned Parrot, Green-cheeked Parrot	
Amazona vittata	Puerto Rican Parrot, Red-fronted Amazon Parrot	
Anodorhynchus spp.	Macaws	The entire genus is listed, however, species with an earlier date of first listing are listed separately.

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Anodorhynchus glaucus	Glaucous Macaw	
Anodorhynchus leari	Lear's Macaw, Indigo Macaw	
Ara ambigua	Buffon's Macaw, Great Green Macaw	
Ara glaucogularis (often traded under the incorrect designation Ara caninde)	Caninde Macaw	
Ara macao	Scarlet Macaw	
Ara militaris	Military Macaw	
Ara rubrogenys	Red-fronted Macaw	
Cacatua goffini	Goffin's Cockatoo	
Cacatua haematuropygia	Philippine Cockatoo, Red-vented Cockatoo	
Cacatua moluccensis	Moluccan Cockatoo, Salmon Crested Cockatoo	
Cyanopsitta spixii	Spix's Macaw	
Cyanoramphus forbesi	Forbes' Parakeet, Yellow-fronted Parakeet, Forbe's Kakariki	
Cyanoramphus novaezelandiae (includes synonym Cyanoramphus novaezelandiae cookii)	New Zealand Parakeet, Red-fronted Parakeet	
Cyclopsitta diophthalma coxeni (also referenced as Opopsitta diophthalma coxeni)	Coxen's Fig Parrot	
Eos histrio	Red Lory, Blue Lory	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Eunymphicus cornutus (includes Eunymphicus cornutus cornutus and Eunymphicus cornutus uvaeensis)	Horned Parakeet	
Guarouba guarouba (formerly referenced as Aratinga guarouba)	Golden Parakeet	
Neophema chrysogaster	Orange-bellied Parakeet	
Ognorhynchus icterotis	Yellow-eared Conure	
Pezoporus wallicus	Ground Parrot	
Pionopsitta pileata	Red-capped Parrot, Pileated Parrot	
Probosciger aterrimus	Great Black Cockatoo, Palm Cockatoo	
Propyrrhura couloni (formerly referenced as Ara couloni)	Blue-headed Macaw	
Propyrrhura maracana (formerly referenced as Ara maracana)	Blue-winged Macaw	
Psephotus chrysopterygius	Golden-shouldered Parakeet	
Psephotus dissimilis (formerly included in species Psephotus chrysopterygius)	Hooded Parrot	
Psittacula echo (also referenced as Psittacula krameri echo)	Rose-ringed Parakeet, Mauritius Ring-necked Parakeet	
Pyrrhura cruentata	Blue-throated Parakeet, Ochre-marked Parakeet	

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Summary of Species included in Appendix 1 of the Convention		
Rhynchopsitta spp.	Thick-billed Parrot, Maroon-fronted Parrot	The entire genus is listed, however, species with an earlier date of first listing are listed separately.
Rhynchopsitta pachyrhyncha	Thick-billed Parrot	
Rhynchopsitta terrisi (also referenced as Rhynchopsitta pachyrhyncha terrisi)	Maroon-fronted Parrot	
Strigops habroptilus	Kakapo, Owl Parrot	
Vini ultramarina	Ultramarine Lorikeet	
Rhea pennata (also referenced as Pterocnemia)	Lesser Rhea	The entire species is listed, however, subspecies included in a different Appendix and/or with an earlier date of first listing are listed separately.
Rhea pennata garleppi (also referenced as Pterocnemia)	Lesser Rhea	
Spheniscus humboldti	Humboldt Penguin, Peruvian Penguin	
Athene blewitti	Forest Little Owl, Forest Spotted Owlet	
Mimizuku gurneyi (also referenced as Otus gurneyi)	Giant Scops Owl	
Ninox novaeseelandiae undulate (also referenced as Ninox novaeseelandiae royana)	Norfolk Island Boobook (hawk) Owl	
Ninox squamipila natalis	Christmas Island (Hawk) Owl, Moluccan Hawk-owl	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Tyto soumagnei	Madagascar Red Owl, Madagascar Grass Owl	
Struthio camelus	Ostrich	Populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal, and the Sudan only.
Tinamus solitarius	Solitary Tinamou	
Pharomachrus mocinno	Resplendent Quetzal	
Antilocapra americana	Mexican Pronghorn	Populations of Mexico only.
Addax nasomaculatus	Addax	
Bos gaurus (excludes the domesticated form of Bos gaurus referenced as Bos frontalis)	Saladang, Gaur, Indian Wild Ox	
Bos mutus (<i>excludes the domesticated form of Bos gaurus referenced as Bos frontalis</i>)	Wild Yak	
Bos sauveli (includes generic synonym Novibos)	Kouprey	
Bubalus depressicornis (includes generic synonym Anoa)	Lowland Anoa	
Bubalus mindorensis (includes generic synonym Anoa)	Tamaraw or Tamarou	
Bubalus quarlesi (includes generic synonym Anoa)	Mountain Anoa	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Capra falconeri	Markhor	
Cephalophus jentinki	Jentink's Duiker	
Gazella dama	Dama Gazelle	
Hippotragus niger variani	Giant Sable Antelope	
Naemorhedus baileyi (formerly included in species Naemorhedus goral)	Goral	
Naemorhedus caudatus (formerly included in species Naemorhedus goral)	Goral	
Naemorhedus goral	Goral	
Naemorhedus sumatraensis (also referenced as Capricornis sumatraensis)	Serow	
Oryx dammah (includes synonym Oryx tao)	Scimitar-Horned Oryx, White Oryx	
Oryx leucoryx	Arabian Oryx	
Ovis ammon hodgsonii	Great Tibetan Sheep, Nyan, Tibetan Argali	
Ovis ammon nigrimontana	Kara Tau Argali	
Ovis orientalis ophion (includes synonym Ovis aries ophion)	Cyprian Red Sheep	
Ovis vignei vignei (formerly included as Ovis vignei)	Ladakh Urial	
Pantholops hodgsonii	Chiru, Tibetan Antelope	
Pseudoryx nghetinhensis	Vu Quang Ox	

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Summary of Species included in Appendix 1 of the Convention		
Rupicapra pyrenaica ornata (also referenced as Rupicapra rupicapra ornata)	Apennian Chamois, Abruzzi Chamois	
Vicugna vicugna	Vicuña	The entire species is listed, however, populations conditionally included in a different Appendix are listed separately.
Axis calamianensis (also referenced as Cervus porcinus calamianensis)	Calamianes Deer	
Axis kuhlii (also referenced as Cervus porcinus kuhlii)	Kuhl's Deer, Bawean Hog Deer	
Axis porcinus annamiticus (also referenced as Cervus porcinus annamiticus)	Hogdeer, Indochina Hog Deer	
Blastocerus dichotomus	Marsh Deer	
Cervus duvaucelii	Swamp Deer, Barasingha	
Cervus elaphus hanglu	Kashmir Deer, Hangul	
Cervus eldii	Eld's Brow-antlered Deer, Eld's Deer, Thamin	
Dama mesopotamica (also referenced as Cervus dama mesopotamicus)	Persian Fallow Deer, Mesopotamian Swamp Deer	
Hippocamelus spp.	Huemal, Guemal	The entire genus is listed.
Megamuntiacus vuquanghensis	Giant Muntjac	
Muntiacus crinifrons	Black Muntjac, Hairy Fronted Muntjac	

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Summary of Species included in Appendix 1 of the Convention		
Ozotoceros bezoarticus	Pampas Deer	
Pudu puda	Southern Pudu, Chilean Pudu	
Moschus spp.	Musk Deer	Populations of Afghanistan, Bhutan, India, Myanmar, Nepal, and Pakistan only.
Babyrousa babyrussa	Babirusa, Deer Hog	
Sus salvanius	Pygmy Hog	
Catagonus wagneri	Chacoan Peccary, Giant Peccary	
Canis lupus	Gray Wolf	Populations of India, Pakistan, Bhutan, and Nepal only.
Speothos venaticus	Bush Dog, Savannah Dog	
Acinonyx jubatus	Cheetah	
Caracal caracal (also referenced as Felis caracal and Lynx caracal)	Caracal	Populations of Asia only.
Catopuma temminckii (formerly included in genus Felis)	Asian Golden Cat, Temminck's Golden Cat	
Felis nigripes	Black-footed Cat	
Herpailurus yaguarondi (formerly included in genus Felis)	Jaguarundi	Populations of Central and North America only.
Leopardus pardalis (formerly included in genus Felis)	Ocelot	The entire species is listed, however, subspecies with an earlier date of first listing are listed separately.

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Summary of Species included in Appendix 1 of the Convention		
Leopardus pardalis mearnsi (formerly included in genus Felis)	Ocelot	
Leopardus pardalis mitis (formerly included in genus Felis)	Brazilian Ocelot	
Leopardus tigrinus (formerly included in genus Felis)	Tiger, Little Spotted Cat, Ocelot Cat, Oricilla	
Leopardus wiedii (formerly included in genus Felis)	Margay	
Lynx pardinus (also referenced as Felis pardina or Felis lynx pardina)	Spanish Lynx, Iberian Lynx	
Neofelis nebulosa	Clouded Leopard	
Oncifelis geoffroyi (formerly included in genus Felis)	Geoffroy's Cat	
Oreailurus jacobita (formerly included in genus Felis)	Mountain Cat, Andean Cat	
Panthera leo persica	Asiatic Lion, Indian Lion	
Panthera onca	Jaguar	
Panthera pardus	Leopard	
Panthera tigris	Tiger	
Pardofelis marmorata (formerly included in genus Felis)	Marbled Cat	
Prionailurus bengalensis bengalensis (formerly included in genus Felis)	Leopard Cat	Populations of Bangladesh, India and Thailand only.

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Prionailurus planiceps (formerly included in genus Felis)	Flat-headed Cat	
Prionailurus rubiginosus (formerly included in genus Felis)	Rusty-spotted Cat	Population of India only.
Puma concolor coryi (formerly included in genus Felis)	Florida Panther, Florida Puma, Florida Cougar	
Puma concolor costaricensis (formerly included in genus Felis)	Costa Rican Puma, Central American Puma	
Puma concolor cougar (formerly included in genus Felis)	Eastern Puma, Adirondack Cougar	
Uncia uncia (formerly included in genus Panthera)	Snow Leopard	
Aonyx congicus (also referenced as Aonyx microdon or Paraonyx microdon)	West African Clawless Otter, Cameroon Clawless Otter	Populations of Cameroon and Nigeria only.
Enhydra lutris nereis	Southern Sea Otter, Californian Sea Otter	
Lontra felina (formerly included in genus Lutra)	Marine Otter, Chungungo	
Lontra longicaudis (formerly included in genus Lutra; includes synonyms Lutra annectens, Lutra enudris, Lutra incarum and Lutra platensis)	Long-tailed Otter, Neotropical Otter	
Lontra provocax (formerly included in genus Lutra)	Southern Otter, South American River Otter	

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Summary of Species included in Appendix 1 of the Convention		
Lutra lutra	Eurasian Otter, European River Otter	
Pteronura brasiliensis	Giant Otter, Brazilian Otter	
Mustela nigripes	Black-footed Ferret	
Arctocephalus townsendi	Guadalupe Fur Seal	
Monachus spp.	Monk seals	The entire genus is listed.
Ailuropoda melanoleuca	Giant Panda	
Ailurus fulgens	Lesser Panda, Red Panda	
Helarctos malayanus	Sun Bear, Malayan Sun Bear	
Melursus ursinus	Sloth Bear	
Tremarctos ornatus	Spectacled Bear, Andean Bear	
Ursus arctos	Mexican Brown Bear	Populations of Mexico only. Previously listed as Ursus arctos nelsoni.
Ursus arctos	Asiatic Brown Bear	Populations of Bhutan, China and Mongolia only. Previously listed as Ursus arctos pruinosus.
Ursus arctos isabellinus	Red Bear, Himalayan Brown Bear	
Ursus arctos nelsoni	Mexican Grizzly Bear	Listing replaced, 18 January 1990, by Ursus arctos – Populations of Mexico.
Ursus arctos pruinosus	Tibetan Blue Bear	Listing replaced, 18 January 1990, by Ursus arctos – Populations of Bhutan, China and Mongolia.

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Ursus thibetanus (also referenced as Selenarctos thibetanus)	Asiatic Black Bear	The entire species is listed, however, a subspecies included in a different Appendix and with an earlier date of first listing is listed separately.
Ursus thibetanus gedrosianus	Baluchistan Black Bear	
Prionodon pardicolor	Spotted Linsang	
Balaena mysticetus	Bowhead Whale	
Eubalaena spp. (formerly included in genus Balaena)	right whales	
Balaenoptera acutorostrata	Northern Minke Whale	The entire species is listed, however, populations included in a different Appendix are listed separately.
Balaenoptera bonaerensis (formerly included in Balaenoptera acutorostrata)	Southern Minke Whale	
Balaenoptera borealis	Sei Whale	
Balaenoptera edeni	Bryde's Whale	
Balaenoptera musculus	Blue Whale	
Balaenoptera physalus	Fin Whale	
Megaptera novaeangliae	Humpback Whale	
Sotalia spp.	Humpbacked dolphins	The entire genus is listed.
Sousa spp.	Humpbacked dolphins	The entire genus is listed.
Eschrichtius robustus (includes synonym Eschrichtius glaucus)	Grey Whale	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Caperea marginata	Pygmy Right Whale	
Neophocaena phocaenoides	Finless Porpoise	
Phocoena sinus	Gulf of California Porpoise, Cochita	
Physeter catodon (includes synonym Physeter macrocephalus)	Sperm Whale	
Lipotes vexillifer	White Flag Dolphin, Chinese River Dolphin	
Platanista spp.	River dolphins	The entire genus is listed.
Platanista gangetica	Ganges Dolphin	
Platanista minor	Indus Dolphin	
Berardius spp.	Beaked Whales	The entire genus is listed.
Hyperoodon spp.	Bottle-nosed Whales	The entire genus is listed.
Acerodon jubatus	Golden-capped Fruit Bat, Golden-crowned Flying Fox	
Pteropus insularis	Carolines Fruit Bat, Truk Flying Fox, Chuuck Flying Fox	
Pteropus mariannus	Mariana Flying Fox, Mariana Fruit Bat	
Pteropus molossinus	Ponape Flying Fox, Pohnpei Fruit Bat	
Pteropus phaeocephalus	Mortlock Islands Flying Fox	
Pteropus pilosus	Palau Flying Fox, Belau Fruit Bat	
Pteropus samoensis	Samoa Flying Fox	
Pteropus tonganus	Insular Flying Fox, Tonga Fruit Bat	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Sminthopsis longicaudata	Long-tailed Marsupial-mouse, Long-tailed Dunnart	
Sminthopsis psammophila	Large Desert Marsupial-mouse, Sandhill Dunnart.	
Lagorchestes hirsutus	Rufous Hare-wallaby, Western Hare-wallaby, Wurrup	
Lagostrophus fasciatus	Banded Hare-wallaby, Munning	
Onychogalea fraenata	Bridled Nailtailed Wallaby	
Onychogalea lunata	Crescent Nailtailed Wallaby	
Bettongia spp.	Bettongs, rat-kangaroos	The entire genus is listed, however, species with an earlier date of first listing are listed separately
Bettongia lesueur	Lesueur's Rat-kangaroo, Boodie	
Bettongia penicillata (includes synonym B. tropica)	Brush-tailed Rat-kangaroo, Woylie	
Lasiiorhinus krefftii	Northern Hairy-nosed Wombat	
Caprolagus hispidus	Hispid Hare, Assam Rabbit	
Romerolagus diazi	Mexican Volcano Rabbit	
Macrotis lagotis	Bilby, Rabbit Bandicoot	
Macrotis leucura	Lesser Bilby, Lesser Rabbit Bandicoot, Yallara	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Perameles bougainville	Barred Bandicoot, Long-nosed Bandicoot, Mari	
Equus africanus (also referenced as Equus asinus)	African Wild Ass	Not including the domesticated form.
Equus grevyi	Grevy's Zebra	
Equus hemionus hemionus	Mongolian Wild Ass	
Equus onager khur (formerly included in species Equus hemionus)	Indian Wild Ass, Ghor-Khar, Khar, Onager	
Equus przewalskii (also referenced as Equus caballus przewalskii)	Przewalski's Horse, Wild Asian Horse	
Equus zebra zebra	Cape Mountain Zebra	
Rhinocerotidae spp.	Rhinoceroses	The entire family is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Ceratotherium simum cottoni	Northern White Rhinoceros	
Ceratotherium simum simum	Southern White Rhinoceros	The entire genus is listed, however, populations included in a different Appendix are listed separately.
Dicerorhinus sumatrensis	Sumatran Rhinoceros	
Diceros bicornis	Black Rhinoceros	
Rhinoceros sondaicus	Javan Rhinoceros	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Rhinoceros unicornis	Great Indian Rhinoceros	
Tapiridae spp.	Tapirs	The entire family is listed, however, a species included in a different Appendix is listed separately.
Elephas maximus	Asian Elephant	
Loxodonta africana	African Elephant	The entire species is listed, however, populations conditionally included in a different Appendix are listed separately.
Callimico goeldii	Goeldi's Marmoset, Goeldi's Tamarin, Goeldi's Monkey, Callimico	
Callithrix aurita (formerly included as a subspecies of Callithrix jacchus)	White-eared Marmoset, Buffy Tufted-ear Marmoset	
Callithrix flaviceps (formerly included as a subspecies of Callithrix jacchus)	Buff-headed Marmoset	
Leontopithecus spp. (includes generic synonym Leontideus)	golden lion tamarins	
Saguinus bicolor	Pied Bare-face Tamarin	
Saguinus geoffroyi (formerly included in species Saguinus oedipus)	Geoffroy's Marmoset	
Saguinus leucopus	White-footed Tamarin, Bare-face Silvery-brown Tamarin	
Saguinus oedipus	Cotton Top Tamarin	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Alouatta coibensis (formerly included in Alouatta palliata)	Mantled Howler-monkey, Guatamalan Howler-monkey	
Alouatta palliata	Mantled Howler Monkey	
Alouatta pigra (formerly included as Allouatta palliata (villosa))	Black Howler Monkey	
Ateles geoffroyi frontatus	Black-handed Spider Monkey, Black-browed Spider Monkey	
Ateles geoffroyi panamensis	Black-handed Spider Monkey, Panama Spider Money, Red	
(-bellied) Spider Monkey		
Brachyteles arachnoides	Woolly Spider Monkey	
Cacajao spp.	Uakaris	The entire genus is listed.
Chiropotes albinasus	White-nosed Saki	
Lagothrix flavicauda	Yellow-tailed Woolly Monkey	
Saimiri oerstedii	Red-backed Squirrel Monkey, Central American Squirrel Monkey	
Cercocebus galeritus galeritus	Tana River Mangabey, Agile Mangabey	
Cercopithecus Diana (includes synonym Cercopithecus roloway)	Diana Monkey	
Macaca silenus	Lion-tailed Macaque	
Mandrillus leucophaeus (formerly included in genus Papio)	Drill	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Mandrillus sphinx (formerly included in genus Papio)	Mandrill	
Nasalis concolor (includes generic synonym Simias)	Snub-nosed Langur	
Nasalis larvatus	Proboscis Monkey	
Presbytis potenziანი	Long-tailed Langur, Mentawai Islands Sureli, Mentawi Leaf Monkey	
Procolobus pennantii kirkii (includes synonym Colobus badius kirkii)	Kirk's Red Colobus, Zanzibar Red Colobus	
Procolobus rufomitratu s (includes synonym Colobus badius rufomitratu s)	Tana River Red Colobus	
Pygathrix spp. (includes generic synonym Rhinopithecus)	snub-nosed langurs	The entire genus is listed, however, species with an earlier date of first listing are listed separately.
Pygathrix nemaeu s	Douc Langur	
Pygathrix roxellana	Sichuan Snub-nosed Monkey	
Semnopithecus entellu s (also referenced as Presbytis entellus)	Gray Langur, Common Indian Langur	
Trachypithecus geei (also referenced as Presbytis geei and Semnopithecus geei)	Golden Langur	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Trachypithecus pileatus (also referenced as Presbytis pileata and Semnopithecus pileatus)	Capped Bonneted Monkey	Langur, Capped
Cheirogaleidae spp.	Dwarf lemurs, mouse lemurs	The entire family is listed.
Daubentonia madagascariensis	Aye-aye	
Gorilla gorilla	Gorilla	
Pan spp.	Chimpanzees	The entire genus is listed, however, species with an earlier date of first listing are listed separately.
Pan paniscus	Bonobo, Chimpanzee	Pygmy
Pan troglodytes	Chimpanzee	
Pongo pygmaeus	Orangutan	
Hylobatidae spp.	Gibbons, siamangs	The entire family is listed.
Indridae spp.	Woolly lemurs and their allies	The entire family is listed.
Lemuridae spp.	True lemurs	The entire family is listed.
Megaladapidae spp.	Sportive lemurs	The entire family is listed.
Chinchilla spp. (specimens of the domesticated form are not subject to the provisions of the Convention)	chinchillas	The entire genus is listed.
Leporillus conditor	Greater Stick-nest Rat, House-building Rat, Australian Stick-nest Rat	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Pseudomys praeconis	Shark Bay Mouse, False Mouse	
Xeromys myoides	False Water Rat	
Zyzomys pedunculatus	Central Rock-rat, Australian Native Mouse, Mcdonnell Range Rock-rat	
Cynomys mexicanus	Mexican Prairie Dog, Mexican Prairie Marmot	
Dugong dugon	Dugong	
Trichechus inunguis	South American Manatee, Amazonian Manatee	
Trichechus manatus	Caribbean Manatee, North American Manatee, West Indian Manatee	
Priodontes maximus (includes synonym Priodontes giganteus)	Giant Armadillo	
Acipenser brevirostrum	Short-nosed Sturgeon	
Acipenser sturio	Common Sturgeon, Baltic Sturgeon	
Latimeria spp.	coelacanths	The entire genus is listed, however, a species with an earlier date of first listing is listed separately.
Latimeria chalumnae	Gombessa Coelacanth	
Chasmistes cujus	Cui-ui	
Probarbus jullieni	Ikan, Temoleh, Pla Eesok (Thai), Ikan Temelian (Malay)	
Scleropages formosus	Asian Bonytongue	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Totoaba macdonaldi (syn Cynoscion macdonaldi)	Macdonald Weakfish, Totoaba	
Pangasianodon gigas	(Thailand) Giant Catfish	
Alligator sinensis	Chinese Alligator	
Caiman crocodilus apaporiensis	Apaporis River Caiman	
Caiman latirostris	Broad-nosed Caiman, Broad-snouted Caiman	The entire species is listed, however, populations included in a different Appendix are listed separately.
Melanosuchus niger	Black Caiman	The entire species is listed, however, populations included in a different Appendix are listed separately.
Crocodylus acutus	American Crocodile	
Crocodylus cataphractus	African Slender-snouted Crocodile, African Sharp-nosed Crocodile	
Crocodylus intermedius	Orinoco Crocodile	
Crocodylus mindorensis (also referenced as Crocodylus novaeguineae mindorensis)	Philippine Crocodile	
Crocodylus moreletii	Morelet's Crocodile	
Crocodylus niloticus	Nile Crocodile	The entire species is listed, however, populations included in a different Appendix are listed separately.
Crocodylus palustris	Marsh, Broad-snouted Ormugger Crocodile	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Crocodylus porosus	Saltwater Crocodile	The entire species is listed, however, populations included in a different Appendix are listed separately.
Crocodylus rhombifer	Cuban Crocodile	
Crocodylus siamensis	Siamese Crocodile	
Osteolaemus tetraspis	(African) Dwarf Crocodile	
Tomistoma schlegelii	Tomistoma, False Gaviial	
Gavialis gangeticus	(Indian) Gaviial, Gharial	
Sphenodon spp.	tuataras	The entire genus is listed.
Brookesia perarmata	Antsingy Leaf Chameleon	
Brachylophus spp.	Fiji iguanas	The entire genus is listed.
Cyclura spp.	ground iguanas	The entire genus is listed.
Sauromalus varius	San Esteban Island Chuckwalla	
Gallotia simonyi	Hierro Giant Lizard	
Varanus bengalensis	Indian Monitor, Bengal Monitor	
Varanus flavescens	Yellow Monitor, Ruddy Snub-nosed Monitor, Yellow Land Lizard, Indian Oval-grain Lizard	
Varanus griseus	Grey Monitor, Desert Monitor	
Varanus komodoensis	Komodo (Island) Monitor, Komodo Dragon, Ora	
Varanus nebulosus	Indian Monitor	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Acrantophis spp.	madagascar ground boas	The entire genus is listed.
Boa constrictor occidentalis (also referenced as Constrictor constrictor occidentalis)	Argentine Boa Constrictor	
Epicrates inornatus	Puerto Rican Tree Boa, Yellow Tree Boa, Culebra Grande	The entire species is listed, however, a subspecies with an earlier date of first listing is listed separately.
Epicrates inornatus inornatus	Yellow Tree Boa	
Epicrates monensis	Mona Boa	
Epicrates subflavus	Jamaican Boa	
Sanzinia madagascariensis (includes synonym Sanzinia manditra)	Madagascar Tree Boa, Sanzinia	
Bolyeria multocarinata	Round Island Boa	
Casarea dussumieri	Keel-scaled Boa, Round Island Boa	
Python molurus molurus (includes synonym Python molurus pimbura)	Indian (Rock) or Tiger Python	
Vipera ursinii	Orsini's Viper	Populations of Europe, not including the former Union of Soviet Socialist Republics.
Pseudemydura umbrina	Short-necked Swamp Turtle, Western Swamp Tortoise	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Cheloniidae spp.	sea turtles	The entire family is listed, however, species and subspecies with an earlier date of first listing are listed separately.
Caretta caretta	Loggerhead	
Chelonia mydas	Green Turtle	
Eretmochelys imbricata bisca	Pacific Hawksbill	
Eretmochelys imbricata imbricata	Atlantic Hawksbill	
Lepidochelys kempfi	Atlantic Ridley	
Lepidochelys olivacea	Pacific Ridley	
Natator depressus	Flatback	
Dermochelys coriacea	Leathery, Leather-backed Turtle	
Batagur baska	River Terrapin, Tuntong, Common Batagur	
Clemmys muhlenbergii	Bog Turtle, Muhlenberg's Turtle	
Geoclemys hamiltonii (also referenced in genus Damonina)	Spotted Pond Turtle	
Kachuga tecta (formerly included as Kachuga tecta tecta)	Indian Sawback Turtle	
Melanochelys tricarinata (includes generic synonyms Nicoria and Geoemyda (part))	Three-keeled Asian Turtle	
Morenia ocellata	Burmese Peacock Turtle	
Terrapene coahuila	Aquatic Box Turtle	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Geochelone nigra (also referenced as Geochelone elephantopus; also referenced in genus Testudo)	Galapagos Tortoise	
Geochelone radiata (also referenced in genus Testudo)	Madagascar Radiated Tortoise	
Geochelone yniphora (also referenced in genus Testudo)	Angulated Tortoise	
Gopherus flavomarginatus	Bolson Tortoise	
Psammobates geometricus (also referenced in genus Testudo)	Geometric Turtle	
Pyxis planicauda	Flat-tailed Spider Tortoise	
Testudo kleinmanni	Egyptian Tortoise	
Testudo wernerii	land tortoise	
Apalone ater (formerly included in genus Trionyx)	Cuatro Cienegas Softshell Turtle	
Aspideretes gangeticus (formerly included in genus Trionyx)	Indian Softshell Turtle	
Aspideretes hurum (formerly included in genus Trionyx)	Peacock Softshell Turtle	
Aspideretes nigricans (formerly included in genus Trionyx)	Black Softshell Turtle	
Ornithoptera alexandrae	Queen Alexandra's Birdwing Butterfly	
Papilio chikae	Luzon Peacock Swallowtail	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Papilio homerus	Homerus Swallowtail	
Papilio hospiton	Corsican Swallowtail	
Conradilla caelata	Birdwing Pearly Mussel	
Dromus dromas (also referenced as Conchodromus dromas)	Dromedary Pearly Mussel	
Epioblasma curtisi (also referenced in genera Dysnomia and Plagiola)	Curtis' Pearly Mussel	
Epioblasma florentina (also referenced in genera Dysnomia and Plagiola)	Yellow-blossom Pearly Mussel	
Epioblasma sampsoni (also referenced in genera Dysnomia and Plagiola)	Sampson's Pearly Mussel	
Epioblasma sulcata perobliqua (also referenced in genera Dysnomia and Plagiola)	White Cat's Paw Mussel	
Epioblasma torulosa gubernaculum (also referenced in genera Dysnomia and Plagiola)	Green-blossom Pearly Mussel	
Epioblasma torulosa torulosa (also referenced in genera Dysnomia and Plagiola)	Tuberculed-blossom Pearly Mussel	
Epioblasma turgidula (also referenced in genera Dysnomia and Plagiola)	Turgid-blossom Pearly Mussel	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Epioblasma walkeri (also referenced in genera Dysnomia and Plagiola)	Brown-blossom Pearly Mussel	
Fusconaia cuneolus	Fine-rayed Pigtoe Mussel	
Fusconaia edgariana	Shiny Pigtoe Mussel	
Lampsilis higginsii	Higgin's Eye Mussel	
Lampsilis orbiculata orbiculata	Pink Mucket Mussel	
Lampsilis satur	Plain Pocketbook Mussel	
Lampsilis virescens	Alabama Lamp Pearly Mussel	
Plethobasus cicatricosus	White Wartyback Mussel	
Plethobasus cooperianus	Orange-footed Pimpleback Mussel	
Pleurobema plenum	Rough Pigtoe Mussel	
Potamilus capax (includes generic synonym Proptera)	Fat Pocketbook Mussel	
Quadrula intermedia	Cumberland Monkey-face Mussel	
Quadrula sparsa	Appalachian Monkey-face Mussel	
Toxolasma cylindrella (also referenced in genus Carunculina)	Pale Lilliput Pearly Mussel	
Unio nickliniana (also referenced as Megalonaias nickliniana)	Nicklin's Pearly Mussel	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Unio tampicoensis tecomatensis (also referenced as Cyrtonaias tampicoensis tecomatensis and Lampsilis tampicoensis tecomatensis)	Tampico Pearly Mussel	
Villosa trabalis (includes generic synonym Micromya)	Cumberland Bean Mussel	
Achatinella spp.	Oahu Tree Snails	The entire genus is listed.
Agave arizonica	New River Agave	
Agave parviflora	Santa Cruz Striped Agave	
Nolina interrata	Dehesa Bear-grass	
Pachypodium ambongense		
Pachypodium baronii		
Pachypodium decaryi		
Araucaria araucana	Monkey-puzzle Tree	
Ariocarpus spp. (includes generic synonyms Neogomesia and Roseocactus)	Living-rock Cacti	The entire genus is listed.
Astrophytum asterias (also referenced in genus Echinocactus)	Sea-urchin Cactus, Star Cactus	
Aztekium ritteri	Aztec Cactus	
Coryphantha werdermannii (includes synonym Coryphantha densispina)	Jabali Pincushion Cactus	
Discocactus spp.	Discocacti	The entire genus is listed.

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Echinocereus ferreirianus lindsayi (also referenced as Echinocereus lindsayi)	Lindsay's Hedgehog Cactus	
Echinocereus schmollii (also referenced in genus Wilcoxia; includes Wilcoxia nerispina)	Lamb's-tail Cactus	
Escobaria minima (also referenced in genus Coryphantha; includes synonym Escobaria nelliae)	Nellie's Corycactus	
Escobaria sneedii (also referenced in genus Coryphantha; includes Escobaria leei as a subspecies)	Sneed Pincushion Cactus	
Mammillaria pectinifera (includes synonym Solisia pectinata)	Conchilique	
Mammillaria solisioides	Pitayita	
Melocactus conoideus	Conelike Turk's-cap Cactus	
Melocactus deinacanthus	Wonderfully Bristled Turk's-cap Cactus	
Melocactus glaucescens	Woolly Waxy-stemmed Turk-cap Cactus	
Melocactus paucispinus	Few-spined Turk's-cap Cactus	
Obregonia denegrii	Artichoke Cactus	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Pachycereus militaris (also referenced in genera Backebergia, Cephalocereus and Mitrocereus; includes synonym Pachycereus chrysomallus)	Teddy-bear Cactus, Military Cap	
Pediocactus bradyi (includes Pediocactus bradyi despainii and Pediocactus bradyi winkleri and synonyms Pediocactus despainii, Pediocactus simpsonii bradyi and Pediocactus winkleri; also referenced in genus Toumeyia)	Brady Pincushion Cactus, San Rafael Cactus, Winkler Pincushion Cactus	
Pediocactus knowltonii	Knowlton Cactus	
Pediocactus paradinei	Houserock Valley Cactus	
Pediocactus peeblesianus (also referenced in genera Navajoa, Toumeyia and Utahia; includes synonyms Pediocactus fickeisenii, Navajoa peeblesianus fickeisenii and Navajoa fickeisenii)	Fickeisen Cactus, Peebles Navajo Cactus	
Pediocactus sileri (also referenced in genera Echinocactus and Utahia)	Siler Pincushion Cactus	
Pelecypora spp. (includes generic synonym Encephalocarpus)	Hatchet Cactus, Pinecone Cactus, Peyotillo	The entire genus is listed.

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Sclerocactus brevihamatus tobuschii (also referenced in genus Pediocactus; includes synonyms Ancistrocactus tobuschii and Ferocactus tobuschii)	Tobusch Fishhook Cactus	
Sclerocactus erectocentrus (also referenced in genera Echinomastus, Neolloydia and Pediocactus; includes synonyms Echinomastus acunensis and Echinomastus krausei)	Redspine Fishhook Cactus	
Sclerocactus glaucus (includes synonyms Ferocactus glaucus, Sclerocactus brevispinus, Sclerocactus wetlandicus and Sclerocactus wetlandicus ilseae; also referenced in Pediocactus)	Uinta Basin Hookless Cactus	
Sclerocactus mariposensis (also referenced in genera Echinomastus, Neolloydia and Pediocactus)	Mariposa Cactus	
Sclerocactus mesae-verdae (also referenced in genera Coloradoa, Ferocactus and Pediocactus)	Mesa Verde Cactus	
Sclerocactus nyensis	Tonapah fishhook Cactus	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Sclerocactus papyracanthus (also referenced in genera Pediocactus and Toumeyia)	Gramma-grass Cactus	
Sclerocactus pubispinus (also referenced in genera Ferocactus and Pediocactus)	Great Basin Fishhook Cactus	
Sclerocactus wrightiae (also referenced in genera Ferocactus and Pediocactus)	Wright's Fishhook Cactus	
Strombocactus spp.	Disc Cactus, Top Cactus	The entire genus is listed.
Turbincarpus spp. (includes generic synonyms Gymnocactus and Normanbokea; also referenced in genera Kadenicarpus, Neolloydia, Pediocactus, Pelecyphora, Strombocactus, Thelocactus and Toumeyia)	Turbincarps	The entire genus is listed.
Uebelmannia spp. (also referenced in genus Parodia)	Uebelmann Cacti	The entire genus is listed.
Saussurea costus (also referenced as Saussurea lappa)	Costus, Kuth Root, Aucklandia	
Fitzroya cupressoides	Fitzroya, Alerce	
Pilgerodendron uviferum	Pilgerodendron	
Cycas beddomei	Beddome Cycad	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Euphorbia ambovombensis		
Euphorbia capsaintemariensis (also referenced as Euphorbia decaryi var. capsaintemariensis)		
Euphorbia cremersii (includes Euphorbia cremersii fa. viridifolia and Euphorbia cremersii var. rakotozafyi)		
Euphorbia cylindrifolia (includes Euphorbia cylindrifolia tuberifera)		
Euphorbia decaryi (includes Euphorbia decaryi vars. ampanihyensis, robinsonii and spirosticha)		
Euphorbia francoisii		
Euphorbia moratii (includes Euphorbia moratii vars. antsingiensis, bemarahensis and multiflora)		
Euphorbia parvicyathophora		
Euphorbia quartziticola		
Euphorbia tulearensis (also referenced as Euphorbia capsaintemariensis var. tulearensis)		
Fouquieria fasciculata	Arbol Del Barril	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Fouquieria purpusii		
Dalbergia nigra	Brazilian Rosewood	
Aloe albida		
Aloe albiflora		
Aloe alfredii		
Aloe bakeri		
Aloe bellatula		
Aloe calcairophila		
Aloe compressa (includes Aloe compressa vars. rugosquamosa schistophila and paucituberculata)		
Aloe delphinensis		
Aloe descoingsii		
Aloe fragilis		
Aloe haworthioides (includes Aloe haworthioides var. aurantiaca)		
Aloe helenae		
Aloe laeta (includes Aloe laeta var. maniensis)		
Aloe parallelifolia		
Aloe parvula		
Aloe pillansii	Boomaalwyn	
Aloe polyphylla	Spiral Aloe	
Aloe rauhii		
Aloe suzannae		
Aloe vossii		

¹¹⁶ Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Nepenthes khasiana	Indian Tropical Pitcher Plant	
Nepenthes rajah	Giant Tropical Pitcher Plant	
Aerangis ellisii	Hidden Tooth Orchid	Seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention.
Cattleya trianaei	Dr Triana's Cattleya	Seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention.
Dendrobium cruentum	Blood Red Dendrobium	Seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention.
Laelia jongheana	Jonghe's Laelia	Seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention.

¹¹⁶ Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Laelia lobata	Lobed Laelia	Seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention.
Paphiopedilum spp.	Asian tropical lady's slippers	Seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention.
Peristeria elata	Holy Ghost, Dove Orchid	Seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention.
Phragmipedium spp.	new world tropical lady's slippers	Seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention.
Renanthera imschootiana	Imschoot's Renanthera	Seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention.

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Vanda coerulea	Blue Vanda	Seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention.
Abies guatemalensis	Guatemalan Fir	
Podocarpus parlatorei	Parlatore's Podocarp, Monteromero	
Balmea stormiae	Ayuque	
Sarracenia rubra alabamensis (formerly referenced as Sarracenia alabamensis alabamensis)	Alabama Canebrake Pitcher Plant	
Sarracenia rubra jonesii (formerly referenced as Sarracenia jonesii)	Mountain Sweet Pitcher Plant	
Sarracenia oreophila	Green Pitcher Plant	
Stangeria eriopus (includes synonym Stangeria paradoxa)	Stangeria, Fern-Leafed Cycad	
Ceratozamia spp.	Ceratozamas, Horncones	The entire genus is listed.
Chigua spp.		The entire genus is listed.
Encephalartos spp.	Bread Palms, African Cycads	The entire genus is listed.
Microcycas calocoma	Palma Corcho, Microcycas	
Rhodonessa caryophyllacea	Pink-headed Duck	
Dasyornis broadbenti litoralis	Western Rufous Bristlebird	

116Sec.1(1)		
Summary of Species included in Appendix 1 of the Convention		
Geopsittacus occidentalis (also referenced as Pezoporus occidentalis)	Night Australian Parrot	Parrot, Night
Psephotus pulcherrimus	Paradise Parakeet	
Acerodon lucifer	Panay Giant Fruit Bat	
Thylacinus cynocephalus	Tasmanian Thylacine	Tiger,
Caloprymnus campestris	Desert Rat-kangaroo	
Chaeropus ecaudatus	Pig-footed Bandicoot	

SCHEDULE 2 – 117.

Sec.1(1)	
“summary of Species included in appendix II of the Convention.	
1. The taxa listed in the table to this Schedule are the taxa included in Appendix II of the Convention in accordance with the provisions of Articles XV and XVI of the Convention, and no other species.	
2. In the Table to this Schedule –	
(a)	the list entitled “Taxon” in the first column of the table to this Schedule includes the scientific name of the listed taxa; and
(b)	the list entitled “Common name” in the second column of the table to this Schedule is included for information only and the scientific name determines whether a species is included on the list; and
(c)	the list entitled “Notation” in the third column of the table to this Schedule describes the specimens belonging to that species that are included in that entry.
3. Where there is no entry in the third column of the table to this Schedule, the definition of a specimen for that particular species is taken to be a specimen that is either –	
(a)	that species; or
(b)	a part or derivative of that species,
or both.	
4. The list of taxa in the first column of the table to this Schedule is organized according to the following classifications:	
“Vertebrate Animals	
Phylum: Chordata (Chordates)	
Class: Actinopterygii (Ray-finned fishes)	Family within Order, in alphabetic order.
Class: Amphibia (Amphibians)	Family within Order, in alphabetic order.
Class: Aves (Birds)	Family within Order, in alphabetic order.
Class: Chondrichthyes (Cartilaginous fishes)	Family within Order, in alphabetic order.

¹¹⁷ Schedule 2 Inserted by No. 33 of 2003, s. 21.

Class: Mammalia (Mammals)	Family within Order, in alphabetic order.
Class: Osteichthyes (Bony fishes)	Family within Order, in alphabetic order.
Class: Reptilia (Reptiles)	Family within Order, in alphabetic order.
Invertebrate Animals	
Phylum: Annelida (Annelid worms)	
Class: Hirudinoidea (Leeches)	Family within Order, in alphabetic order.
Phylum: Arthropoda (Arthropods)	
Class: Arachnida (Arachnids)	Family within Order, in alphabetic order.
Class: Insecta (Insects)	Family within Order, in alphabetic order.
Phylum: Cnidaria (Coral-like animals)	
Class: Anthozoa (Corals, sea anemones)	Family within Order, in alphabetic order.
Class: Hydrozoa (Sea ferns, fire corals)	Family within Order, in alphabetic order.
Phylum: Echinodermata	
Class: Holothuroidea (sea cucumbers)	Family within Order, in alphabetic order.
Phylum: Mollusca (Molluscs)	
Class: Pelecypoda (=Bivalvia)	Family within Order, in alphabetic order.
Class: Gastropoda (Snails)	Family within Order, in alphabetic order.
“Plants:	Families, in alphabetic order.
5. The following general interpretations shall be applied: –	
(a)	for animalia species, in relation to hybrids –
(i)	where at least one of the animals in the previous four generations is of a species included in Appendix I of the Convention, hybrids shall be treated as specimens of species included in Appendix I; and

(ii)	where at least one of the animals in the previous four generations is of a species included in Appendix II of the Convention, and there are no specimens of a species included in Appendix I of the Convention in that lineage, hybrids shall be treated as specimens of species included in Appendix II of the Convention; and	
(iii)	where at least one of the animals in the previous four generations is of a species included in Appendix III of the Convention, and there are no specimens of an species included in Appendix I or Appendix II of the Convention in that lineage, hybrids shall be treated as specimens of species included in Appendix III of the Convention;	
(b)	for plantae species –	
(i)	unless otherwise noted, listings in Appendix II and Appendix III of the Convention include all parts and derivatives except –	
	(A) seeds, spores and pollen (including pollinia); and	
	(B) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers; and	
	(C) cut flowers of artificially propagated plants; and	
(ii)	in relation to hybrids –	
	(A) where at least one of the plants in the recent lineage of the hybrid is of a species included in Appendix I or Appendix II of the Convention, the hybrid shall be treated as a specimen of species included in Appendix II of the Convention; and	
	(B) where at least one of the plants in the recent lineage of the hybrid is of a species included in Appendix III of the Convention, and there are no specimens of a species listed in Appendix I or Appendix II of the Convention in the lineage, the hybrid shall be treated as a specimen of a species included in Appendix III of the Convention.	
Taxon	Common Name	Notation
Hippocampus spp.	Seahorses	The entire genus is listed. Enters into effect 15 May 2004.

Dendrobates spp. (includes Allobates spp. And Phobobates spp.)	poison dart frogs, poison arrow frogs	The entire genus is listed.
Epipedobates spp. (formerly included in Dendrobates spp.)	Poison dart frogs, poison arrow frogs	The entire genus is listed.
Minyobates spp. (formerly included in Dendrobates spp.)	poison dart frogs, poison arrow frogs	The entire genus is listed.
Phyllobates spp.	poison arrow frogs	The entire genus is listed.
Mantella spp.	mantella frogs	The entire genus is listed, however, a species with an earlier date of first listing is listed separately
Mantella aurantiaca	Malagasy Golden Mantella	
Scaphiophryne gottlebei	Gottlebes Frog	
Rheobatrachus spp.	Gastric Brooding (Platypus) Frog	The entire genus is listed.
Euphlyctis hexadactylus (also referenced in genus Rana)	Asian Bullfrog, Six-fingered Frog	
Hoplobatrachus tigerinus (also referenced in genus Rana)	Indian Bullfrog	
Ambystoma dumerilii	Lake Patzcuaro Salamander, Achoque	
Ambystoma mexicanum	Salamander, Axolotl	
Anas bernieri	Madagascar Teal	
Anas formosa	Baikal Teal, Spectacled Teal, Formosa Teal	
Branta ruficollis	Red-breasted Goose	
Coscoroba coscoroba	Coscoroba Swan	
Cygnus melanocorypha	Black-necked Swan	

Dendrocygna arborea	Cuban Tree, Black-billed Whistling Duck, West Indian Whistling-duck	
Oxyura leucocephala	White-headed Duck	
Sarkidiornis melanotos	Comb Duck, Knob-billed Duck	
Trochilidae spp.	Hummingbirds	The entire family is listed, however, a species included in Appendix I of the Convention is listed separately.
Balaeniceps rex	Shoebill	
Ciconia nigra	Black Stork	
Phoenicopteridae spp.	Flamingos	The entire family is listed, however, species and subspecies with an earlier date of first listing are listed separately.
Phoenicopus andinus	Andean Flamingo	
Phoenicopus chilensis	Chilean Flamingo	
Phoenicopus jamesi	James Flamingo	
Phoenicopus ruber	American Flamingo	
Phoenicopus ruber ruber	American Flamingo	
Eudocimus ruber	Scarlet Ibis	
Geronticus calvus	Southern Bald Ibis	
Platalea leucorodia	White Spoonbill, Eurasian Spoonbill	
Gallicolumba luzonica	Bleeding Heart Dove, Bleeding Heart Pigeon	
Goura spp.	Crowned Pigeons	The entire genus is listed.

Aceros spp.	Hornbills	The entire genus is listed, however, species included in a different Appendix and/or with an earlier date of first listing are listed separately.
Aceros narcondami	Narcondam Hornbill	
Anorrhinus spp. (includes generic synonym Ptilolaemus)	Hornbills	The entire genus is listed.
Anthracoceros spp.	Hornbills, pied hornbills	The entire genus is listed.
Buceros spp.	Giant hornbills	The entire genus is listed, however, species and subspecies included in a different Appendix and/or with an earlier date of first listing are listed separately.
Buceros hydrocorax	Rufous Hornbill	
Buceros hydrocorax hydrocorax	Luzon-marinduque Rufous Hornbill	
Buceros rhinoceros	Rhinoceros Hornbill	The entire species is listed, however, a subspecies with an earlier date of first listing is listed separately.
Buceros rhinoceros rhinoceros	Malay Rhinoceros Hornbill	
Penelopides spp.	Hornbills	The entire genus is listed.
Musophaga porphyreolopha (formerly included in genus Gallirex; also referenced as Tauraco porphyreolophus)	Purple-crested Turaco, Violet-crested Turaco	

Tauraco spp.	Turacos, louries	The entire genus is listed, however, species with an earlier date of first listing are listed separately.
Tauraco corythaix	Knysna Turaco	
Tauraco macrorhynchus	Yellow-billed Turaco	
Falconiformes spp.	Eagles, hawks, falcons vultures and relatives	The entire order is listed (except for members of the Family Cathartidae not listed separately below), however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Accipitridae spp.	Hawks, eagles and their allies	Populations of Costa Rica only. The entire family is listed.
Accipiter gentilis	Northern Goshawk	
Accipiter gundlachi	Gundlach's Hawk	
Accipiter nisus	European Sparrowhawk	
Aegypius monachus	European Black Vulture, Cinerous Vulture	
Aquila spp.	Eagles	The entire genus is listed, however, species included in a different Appendix and/or with an earlier date of first listing are listed separately.
Aquila chrysaetos	Golden Eagle	
Circaetus spp.	Snake-eagles	The entire genus is listed.
Circus spp.	Harriers	The entire genus is listed.

Gypaetus barbatus	Lammergeier	The entire species is listed, however, a subspecies with an earlier date of first listing is listed separately.
Gypaetus barbatus meridionalis	Lammergeier	
Gyps fulvus	Griffon Vulture	
Haliaeetus spp.	Sea-eagles, fish-eagles	The entire genus is listed, however, species and subspecies included in a different Appendix and/or with an earlier date of first listing are listed separately.
Harpyopsis novaeguineae	New Guinea Harpy Eagle	
Hieraaetus fasciatus	Bonelli's Eagle	
Hieraaetus pennatus	Booted Eagle	
Milvus milvus	Red Kite	
Falconidae spp.	Falcons, caracaras	The entire family is listed, however, species and populations included in a different Appendix are listed separately.
Pandion haliaetus	Osprey	
Sagittarius serpentarius	Secretary Bird	
Argusianus argus	Great Argus Pheasant	
Gallus sonneratii	Sonnerat's Jungle Fowl, Gray Jungle Fowl	
Ithaginis cruentus	Blood Pheasant	
Pavo muticus	Green Peafowl	
Polyplectron bicalcaratum	Gray Peacock Pheasant, Common Peacock, Burmese Peacock	

Polyplectron germaini	Germain's Peacock-pheasant	
Polyplectron malacense	Malaysian Peacock-pheasant	
Polyplectron schleiermacheri (formerly included in species Polyplectron malacense)	Bornean Peacock-pheasant	
Guidae spp.	Cranes	The entire family is listed, however, species and subspecies included in different Appendix and/or with an earlier date of first listing are listed separately.
Grus _ecognized pratensis	Florida Sandhill Crane	
Grus grus	Common Crane	
Grus virgo	Demoiselle Crane	
Otididae spp.	Bustards	The entire family is listed, however, species included in a different Appendix and/or with an earlier date of first listing are listed separately.
Tetrax tetrax	Little Bustard	
Rupicola spp.	Cocks-of-the-rock	The entire genus is listed.
Gubernatrix cristata	Yellow Cardinal	
Paroaria capitata	Yellow-billed Cardinal	
Paroaria coronata	Red-crested Cardinal	
Tangara fastuosa	Seven-colored Tanager	
Amandava formosa	Green Avadavat	
Padda oryzivora	Java Sparrow	
Poephila cincta cincta	Black-throated Finch, Parson Finch	

Carduelis yarrellii (formerly included in genus Spinus)	Yellow-faced Siskin	
Cyornis ruckii (also referenced as Muscicapa ruecki or Niltava ruecki)	Rueck's Blue Flycatcher, Niltava	
Garrulax canorus	Hwamei	
Leiothrix argenteauris	Silver-eared Mesia	
Leiothrix lutea	Pekin Robin	
Liocichla omeiensis	Omei Shan Liocichla	
Paradiseidae spp.	Birds of Paradise	The entire family is listed.
Pitta guajana	Blue-tailed, Banded Pitta	
Pitta nympha (also referenced as Pitta brachyura nympha)	Japanese Fairy Pitta, Blue-winged Pitta	
Pycnonotus zeylanicus	Straw-headed Bulbul	
Gracula religiosa	Hill Myna	
Pteroglossus aracari	Black-necked Aracari	
Pteroglossus viridis	Green Aracari	
Ramphastos sulphuratus	Keel-billed Toucan	
Ramphastos toco	Toco Toucan	
Ramphastos tucanus	Red-billed Toucan	
Ramphastos vitellinus	Channel-billed Toucan	
Psittaciformes spp.	Parrots and their allies	The entire order is listed (except for Melopsittacus _ecognize (Budgerigar) and Nymphicus hollandicus (Cockatiel)), however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.

Psittacidae spp.	Parrots	Populations of Ghana only. The entire family is listed.
Cacatua tenuirostris	Long-billed Corella, Slender-billed Cockatoo	
Calyptorhynchus lathami	Glossy Black Cockatoo	
Coracopsis nigra barklyi	Seychelles Vasa Parrot	
Cyanoliseus patagonus byroni	Burrowing Parakeet	
Cyanoramphus malherbi	Orange-fronted Parakeet	
Cyanoramphus unicolor	Antipodes Green Parakeet	
Neophema splendida	Scarlet-chested Parakeet	
Northiella haematogaster narethae (Psephotus haematogaster narethae)	Blue-bonnet Parrot	
Poicephalus robustus	Cape Parrot	
Polytelis alexandrae	Princess Parrot	
Prosopieia personata	Masked Shining Parrot, Yellow-breasted Musk Parrot	
Psittacus erithacus princeps	Principe Parrot	
Tanygnathus lucionensis	Blue-naped Parrot	
Rhea _ecognize	Greater Rhea, Common Rhea	The entire species is listed, however, a subspecies with an earlier date of first listing is listed separately.
Rhea _ecognize albescens	Greater Rhea	

Rhea pennata pennata (also referenced as Pterocnemia)	Darwin's Rhea	
Spheniscus demersus	Jackass, Blackfooted Cape Penguin	
Strigiformes spp.	Owls	The entire order is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Strigidae spp.	Typical owls	Populations of Ghana only. The entire family is listed.
Bubo bubo	Eurasian Eagle Owl	
Nyctea scandiaca	Snowy Owl	
Otus nudipes newtoni	Virgin Island Screech Owl	
Strix butleri	Desert Owl, Hume's Wood Owl	
Strix nebulosa	Great Gray Owl	
Tytonidae spp.	Barn owls, grass owls	Populations of Ghana only. The entire family is listed.
Cetorhinus maximus	Basking Shark	The entire species is listed, however, a population with an earlier date of first listing is listed separately.
Cetorhinus maximus	Basking Shark	Populations of the United Kingdom only.
Rhincodon typus	Whale shark	
Ammotragus lervia	Barbary Sheep, Aoudad	
Bison bison athabascaae	Wood Bison	
Budorcas taxicolor	Takin	
Cephalophus dorsalis	Bay Duiker	
Cephalophus monticola	Blue (Antelope) Duiker	

Cephalophus ogilbyi	Ogilby's Duiker	
Cephalophus silvicultor	Yellow-Backed Duiker, Giant Duiker	
Cephalophus zebra	Zebra-Banded Duiker	
Damaliscus pygargus pygargus (also referenced as Damaliscus dorcas dorcas)	(Antelope) Bontebok	
Kobus leche	Lechwe	
Ovis ammon	Argali	The entire species is listed, however, subspecies included in a different Appendix are listed separately.
Ovis canadensis	Mexican Bighorn Sheep	Populations of Mexico only.
Ovis vignei	Urial	The entire species is listed, however, a subspecies included in a different Appendix and with an earlier date of first listing is listed separately.
Saiga tatarica	Saiga Antelope	
Lama guanicoe	Guanaco	
Taxon	Common Name	Notation

<p>Vicugna vicugna</p>	<p>Vicufia</p>	<p>Argentina: Population of the Provinces of Jujuy and Catamarca, and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan; for the exclusive purpose of allowing international trade in wool sheared from live vicufias, cloth, and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the 'VICUÑA-ARGENTINA'. Other products must bear label including the logotype and the designation 'VICUÑA-ARGENTINA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade I them shall be regulated accordingly.</p>
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Vicugna vicugna	Vicufia	<p>Bolivia: All population of Bolivia; for the exclusive purpose of allowing international trade in: a) wool and products derived therefrom sheared from live animals of the populations of the Conservation Units of Mauri-Desaguadero, Ulla Ulla and Lipez-Chichas; and b) products made from wool sheared from live animals of the rest of the population of Bolivia. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-BOLIVIA'. Other products must bear a label including the logotype and the designation 'VICUÑA-BOLIVIA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.</p>
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<p>Vicugna vicugna</p>	<p>Vicuña</p>	<p>Chile: Populations of the Primera Región; for the exclusive purpose of allowing international trade in wool sheared from live vicuñas, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-CHILE'. Other products must bear a label including the logotype and the designation 'VICUÑA-CHILE-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.</p>
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Vicugna vicugna	Vicuña	Peru: All populations of Peru; for the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-PERÚ'. Other products must bear a label including the logotype and the designation 'VICUÑA-PERÚ-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly.
Cervus elaphus bactrianus	Bactrian (Red) Deer, Bokharan Deer, Bactrian Wapiti	
Pudu mephistophiles	Northern Pudu	
Hexaprotodon liberiensis (also referenced as Choeropsis liberiensis)	Pygmy Hippopotamus	

Hippopotamus amphibius	Hippopotamus	
Moschus spp.	Musk Deer	The entire genus is listed, however, populations included in a different Appendix and with an earlier date of first listing are listed separately.
Tayassuidae spp	Peccary	The entire family is listed (except for certain populations of Pecari tajacu), however, species included in a different Appendix and/or with an earlier date of first listing are listed separately.
Pecari tajacu	Collared Peccary	Not including populations of Mexico or the United States of America, which are not included in the Appendices.
Canis lupus	Gray Wolf	The entire species is listed, however, populations included in a different Appendix are listed separately.
Cerdocyon thous (formerly included in genus Dusicyon)	Crab-eating Fox, Forest Fox, Savannah Fox	
Chrysocyon brachyurus	Maned Wolf	
Cuon alpinus	Asiatic Wild Dog, Indian Wild Dog, Dhole	
Pseudalopex culpaeus (formerly included in genus Dusicyon)	Culpeo, Red Fox	
Pseudalopex griseus (includes synonym Dusicyon fulvipes)	Argentine Grey Fox, Little Fox, Chico Grey Fox, Chilla Chiloe Fox	

Pseudalopex gymnocercus (formerly included in genus Dusicyon)	Pampas Fox, Azara's Fox	
Vulpes cana	Blanford's Fox, Afghan Fox	
Vulpes zerda (includes generic synonym Fennecus)	Fennec Fox	
Felidae spp. (specimens of the domesticated form are not subject to the provisions of the Convention)	cats	The entire family is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Caracal caracal (also referenced as Felis caracal and Lynx caracal)	Caracal	The entire species is listed, however, populations with an earlier date of first listing are listed separately.
Felis margarita	Sand Cat	
Felis silvestris lybica	North African Wild Cat	
Felis silvestris ocreata	Ethiopian Wild Cat	
Herpailurus yaguarondi (formerly included in genus Felis)	Jaguarundi	The entire species is listed, however, populations included in a different Appendix are listed separately.
Leptailurus serval	Serval	
Lynx lynx isabellinus	Eurasian Lynx	
Lynx rufus escuinapae	Bobcat	
Oncifelis colocolo budini	Pampas Cat	
Oncifelis colocolo crespoi	Pampas Cat	
Oncifelis colocolo pajeros	Pampas Cat	

Panthera leo	African Lion	The entire species is listed, however, a subspecies included in a different Appendix is listed separately.
Prionailurus bengalensis bengalensis (formerly included in genus Felis)	Leopard Cat	The entire subspecies is listed, however, populations included in a different Appendix are listed separately.
Puma concolor azteca (formerly included in genus Felis)	Cougar	
Puma concolor mayensis (formerly included in genus Felis)	Puma	
Puma concolor missoulensis (formerly included in genus Felis)	Puma	
Lutrinae spp.	Otters	The entire subfamily is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Aonyx capensis	Cape Clawless Otter	
Aonyx concigus (also referenced as Aonyx microdon or Paraonyx microdon)	West African Clawless Otter, Cameroon Clawless Otter	The entire species is listed, however, populations included in a different Appendix are listed separately.
Conepatus humboldtii	Humboldt's Hognose Skunk, Patagonian Skunk	
Arctocephalus spp.	Southern fur seals	The entire genus is listed, however, species included in a different Appendix and/or with an earlier date of first listing are listed separately.

Arctocephalus australis	Southern Fur Seal	
Arctocephalus galapagoensis	Galapagos Fur Seal	
Arctocephalus philippii	Juan Fernandez Fur Seal	
Mirounga leonina	Southern Elephant Seal	
Ursidae spp.	Bears	The entire family is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Ursus americanus	American Black Bear	The entire species is listed, however, a subspecies with an earlier date of first listing is listed separately.
Ursus americanus emmonsii	Emmons' Black Bear	
Ursus arctos	European Brown Bear	Populations of Italy only.
Ursus arctos	Brown Bear, Grizzly Bear	Populations of North America, not including Ursus arctos nelsoni
Ursus arctos	European Brown Bear	Populations of Europe, not including Italy or the former Union of Soviet Socialist Republics.
Ursus maritimus (formerly listed as Thalarctos maritimus)	Polar Bear	
Cryptoprocta ferox	Fossa	
Cynogale bennettii	Otter Civet	
Eupleres goudotii (includes synonym Eupleres major)	Fanalouc, Malagasy Mongoose	

Fossa fossana	Malagasy Civet, Fanaloka	
Hemigalus derbyanus	Banded Palm Civet, Hardwick's Civet Banded Musang	
Prionodon linsang	Banded Linsang	
Cetacea spp.	Whales, porpoises, and dolphins	The entire order is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Balaenoptera acutorostrata	Northern Minke Whale	Populations of West Greenland only.
Monodon monoceros	Narwhal	
Pontoporia blainvillei	La Plata Dolphin	
Acerodon spp.	Flying foxes	The entire genus is listed, however, species included in a different Appendix are listed separately.
Pteropus spp.	Flying Foxes	The entire genus is listed, however, species included in a different Appendix are listed separately.
Dendrolagus inustus	Grizzled Grey Tree Kangaroo	
Dendrolagus ursinus	Vogelkop Tree-kangaroo, Black Tree-kangaroo	
Phalanger orientalis	Gray Cuscus	
Spilocuscus maculatus (also referenced as Phalanger maculatus)	Spotted Cuscus	

Zaglossus spp.	New Guinea Echidnas, Long-nosed Echidnas, Long Snouted Echidnas, Anteaters, New Guinea Long- nosed Spiny Echidna, New Guinea Egg- laying Echidna	The entire genus is listed.
Equus hemionus	Asian Wild Ass	The entire species is listed, however, a subspecies included in a different Appendix is listed separately.
Equus kiang (formerly included in species Equus hemionus)	Kiang	
Equus onager (formerly included in species Equus hemionus)	Onager	The entire species is listed, however, a subspecies included in a different Appendix is listed separately.
Equus zebra hartmannae	Hartmann's Mountain Zebra	
Ceratotherium simum simum	Southern White Rhinoceros	Population of South Africa
Tapirus terrestris	Brazilian Tapir, South American Tapir	
Manis spp.	Pangolins	The entire genus is listed, however, species with an earlier date of first listing are listed separately.
Manis crassicaudata	Indian Pangolin	
Manis gigantea	Giant Pangolin	
Manis javanica	Malayan Pangolin	
Manis pentadactyla	Chinese Pangolin	
Manis temminckii	Cape Pangolin	
Manis tetradactyla	Long-tailed Pangolin	
Manis tricuspis	White-bellied Pangolin	

Loxodonta africana	African Elephant	<p>Populations of Botswana, Namibia and South Africa only; for the exclusive purpose of allowing: 1) trade in hunting trophies for non-commercial purposes; 2) trade in live animals for in situ conservation programmes; 3) trade in hides; 4) trade in leather goods for non-commercial purposes; 5) trade in registered raw ivory (for Botswana and Namibia, whole tusks and pieces; for South Africa, whole tusks and cut pieces of ivory that are both 20 cm or more in length and one kilogramme or more in weight) subject to the following: i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin) and, in the case of South Africa, only ivory originating from the Kruger National Park); ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP12) concerning domestic manufacturing and trade; iii) not before May 2004, and in any</p>
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Loxodonta africana	African Elephant	<p>Population of Zimbabwe only; for the exclusive purpose of allowing: 1) export of hunting trophies for non-commercial purposes; 2) export of live animals to appropriate and acceptable destinations; 3) export of hides; 4) export of leather goods and ivory carvings for non-commercial purposes. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly. To ensure that where a) destinations for live animals are to be "appropriate and acceptable" and/or b) the purpose of the import is to be "non-commercial", export permits and re-export certificates may be issued only after the issuing Management Authority has received, from the Management Authority of the State of import, a certification to the effect that; in case a), in analogy to Article III, paragraph 3(b) of the Convention, the holding facility has been reviewed by the competent Scientific Authority, and the proposed recipient has been found to be suitably equipped to house and care for the animals; and/or in case b), in analogy to Article III, paragraph 3(c), the Management Authority is satisfied that the</p>
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Primates spp.	Monkeys, apes, prosimians	The entire order is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Callithrix pygmaea	Pygmy Marmoset	
Cebus capucinus	White-throated Capuchin	
Cercopithecus mona	Mona Monkey	
Cercopithecus petaurista	Lesser Spot-nosed Guenon	
Chlorocebus aethiops	Vervet	
Colobus polykomos	King Colobus	
Erythrocebus patas	Patas Monkey	
Macaca sylvanus	Barbary Ape	
Procolobus pennantii gordonorum	Red Colobus	
Procolobus verus	Olive Colobus	
Trachypithecus johnii	Nilgiri Langur	
Loris tardigradus	Slender Loris	
Nycticebus coucang	Slow Loris	
Perodicticus potto	Potto	
Ratufa spp.	giant squirrels	The entire genus is listed.
Tupaiaidae spp.	tree shrews	The entire family is listed.
Trichechus senegalensis	West African Manatee	
Bradypus _ecognized (includes synonyms Bradypus boliviensis and Bradypus griseus)	(Bolivian) Three-toed Sloth	
Chaetophractus nationi	Hairy Armadillo	
Myrmecophaga tridactyla	Giant Anteater	

Acipenseriformes spp.	sturgeons, paddlefish	The entire order is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Acipenser fulvescens	Lake Sturgeon	
Acipenser oxyrinchus	Atlantic Sturgeon	
Polyodon spathula	Duckbill Cat, Mississippi Paddlefish	
Neoceratodus forsteri	Australian Lungfish	
Caecobarbus geertsi	African Blind Barb, Congo Blind Barb	
Arapaima gigas	Arapaima, Pirarucu	
Crocodylia spp. (includes Alligatoridae, Crocodylidae and Gavialidae)	crocodiles, alligators, caimans, gavials	The entire order is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Alligator mississippiensis	American Alligator	
Caiman _ecognized crocodilus	Common Caiman, Spectacled Caiman	
Caiman _ecognized fuscus	Brown Caiman	
Caiman latirostris	Broad-nosed Caiman, Broad-snouted Caiman	Populations of Argentina only
Caiman yacare	Yacare	
Melanosuchus niger	Black Caiman	Populations of Ecuador only.
Palaeosuchus palpebrosus	Dwarf Caiman	
Paleosuchus trigonatus	Smooth-fronted Caiman	
Crocodylus johnstoni (includes synonym Crocodylus johnsoni)	Freshwater Crocodile	

Crocodylus niloticus	Nile Crocodile	Populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, South Africa, the United Republic of Tanzania (subject to export quotas), Uganda, Zambia, Zimbabwe only.
Crocodylus novaeguineae	New Guinea Crocodile, Freshwater Crocodile	
Crocodylus porosus	Saltwater Crocodile	Populations of Australia, Indonesia and Papua New Guinea only.
Uromastyx spp.	Spiny-tailed, Dabb Lizards, Palm Lizards, Mastigures	The entire genus is listed.
Bradypodion spp. (formerly included in Chamaeleo spp.)	dwarf chameleons	The entire genus is listed
Brookesia spp.	chameleon	The entire genus is listed, however, a species included in a different Appendix is listed separately.
Calumma spp. (formerly included in Chamaeleo spp.)	chameleons	The entire genus is listed.
Chamaeleo spp.	chameleons	The entire genus is listed.
Furcifer spp. (formerly included in Chamaeleo spp.)	chameleons	The entire genus is listed.
Cordylus spp. (includes Pseudocordylus spp.)	girdled lizards	The entire genus is listed.
Cyrtodactylus serpensinsula (also referenced in genus Nactus)	Serpent Island Gecko	
Phelsuma spp. (includes generic synonym Rhoptropella)	day geckos	The entire genus is listed.

Heloderma spp.	bearded lizards, gila monster	The entire genus is listed.
Amblyrhynchus cristatus	Galapagos Marine Iguana	
Conolophus spp.	land lizards	The entire genus is listed.
Iguana spp.	common iguanas	The entire genus is listed.
Phrynosoma coronatum	Coastal Horned Lizards	The entire species is listed, however, a subspecies with an earlier date of first listing is listed separately.
Phrynosoma coronatum blainvillei	San Diego Horned Lizard	
Podarcis lilfordi	Balearic Lizard, Lilford's Wall Lizard	
Podarcis pityusensis	Balearic Lizard, Ibiza Wall Lizard	
Corucia zebrata	Prehensile-tailed Skink	
Crocodylurus amazonicus	Dragon Lizardet	
Dracaena spp.	caiman lizards	The entire genus is listed, however, a species with an earlier date of first listing is listed separately.
Dracaena guianensis	Armoured Teyou	
Tupinambis spp. (Tupinambis merinae was until 1 August 2000 listed as Tupinambis teguixin. Tupinambis teguixin was until 1 August 2000 listed as Tupinambis nigropunctatus)	tegu lizards	The entire genus is listed.

Varanus spp.	monitor lizards	The entire genus is listed, however, species included in a different Appendix are listed separately.
Shinisaurus crocodilurus	Chinese Crocodile Lizard	
Boidae spp.	boas	The entire family is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Boa constrictor (also referenced as Constrictor constrictor)	Boa Constrictor	The entire species is listed, however, a subspecies included in a different Appendix is listed separately.
Epicrates cenchria cenchria	Rainbow Boa	
Eryx jaculus	Caucasian Sand Boa	
Eunectes notaeus	Yellow Anaconda	
Bolyeriidae spp. (formerly included in Family Boidae)	Round Island Boas	The entire family is listed, however, species included in a different Appendix are listed separately.
Clelia clelia (includes synonym Pseudoboa cloelia)	Mussurana Snake	
Cyclagras gigas (also referenced as Hydrodynastes gigas)	South American False Water Cobra, South American Water Cobra, Beach Cobra, Surucucu	
Elachistodon westermanni	Indian Egg-eating Snake, Westermann's Indian Egg-eater Snake	

Ptyas mucosus	Oriental Rat Snake, Indian Rat Snake, Asian Rat Snake, Whipsnake	
Hoplocephalus bungaroides	Broad-headed Snake	
Naja atra (formerly included under Naja naja)	Chinese Cobra	
Naja kaouthia (formerly included under Naja naja)	Monocled Cobra	
Naja mandalayensis (formerly included under Naja naja)	Mandalay Cobra	
Naja naja	Asian Cobra	
Naja oxiana (formerly included under Naja naja)	Oxus Cobra	
Naja philippinensis (formerly included under Naja naja)	Philippine Cobra	
Naja sagittifera (formerly included under Naja naja)	Andaman Cobra	
Naja samarensis (formerly included under Naja naja)	Peters' Cobra	
Naja siamensis (formerly included under Naja naja)	Indochinese Cobra	
Naja sputatrix (formerly included under Naja naja)	Indonesian Cobra	
Naja sumatrana (formerly included under Naja naja)	Golden Spitting Cobra	
Ophiophagus hannah	King Cobra	
Loxocemidae spp. (formerly included in Family Boidae)	_ecogni dwarf boas	The entire family is listed.

Pythonidae spp. (formerly included in Family Boidae)	pythons	The entire family is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Python spp.	pythons	The entire genus is listed, however, a subspecies included in a different Appendix is listed separately.
Tropidophiidae spp. (formerly included in Family Boidae)	small ground boas	The entire family is listed.
Vipera wagneri	Wagner's Viper	
Dermatemys mawii	Central American River Turtle	
Annamemys annamensis	Annam Pond Turtle	
Callagur borneoensis	Painted Terrapin	
Clemmys insculpta	Wood Turtle	
Cuora spp.	Asian box turtles	The entire genus is listed.
Heosemys depressa	turtle	
Heosemys grandis	turtle	
Heosemys leytensis	turtle	
Heosemys spinosa	turtle	
Hieremys annandalii	Yellow-headed Temple Turtle	
Kachuga spp.	Indian roofed turtle	The entire genus is listed, however, a species included in a different Appendix and with an earlier date of first listing is listed separately.
Leucocephalon yuwonoi	Sulawesi Forest Turtle	
Mauremys mutica	Yellow Pond Turtle	

Orlitia borneensis	Malayan Giant Turtle	
Pyxidea mouhotii	Keeled Box Turtle	
Siebenrockiella crassicollis	Black Marsh Turtle	
Terrapene spp.	box turtles	The entire genus is listed, however, a species included in a different Appendix and with an earlier date of first listing is listed separately.
Erymnochelys madagascariensis (formerly included in Podocnemis spp.)	Madagascar Turtle	
Peltocephalus dumeriliana (formerly included in Podocnemis spp.)	Big-headed Amazon River Turtle	
Podocnemis spp.	South American Turtles	The entire genus is listed.
Platysternon megacephalum	Big-headed Turtle	
Testudinidae spp.	Land tortoises	The entire family is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Geochelone spp.	land tortoises	The entire genus is listed, however, species included in a different Appendix are listed separately.
Gopherus spp.	gopher tortoises	The entire genus is listed, however, a subspecies included in a different Appendix is listed separately.
Homopus spp.	African parrot-beaked tortoises	The entire genus is listed.

Kinixys spp.	hinged-back tortoises	The entire genus is listed.
Malacochersus spp.	pancake tortoises	The entire genus is listed.
Pyxis spp.	spider tortoises	The entire genus is listed, however, a species included in a different Appendix is listed separately.
Testudo spp. (includes Chersina spp.)	land tortoises	The entire genus is listed, however, species included in a different Appendix are listed separately.
Chitra spp.	turtle	The entire genus is listed.
Lissemys punctata	Indian Flap-shell Tortoise	The entire species is listed, however, a subspecies with an earlier date of first listing is listed separately.
Lissemys punctata punctata	Indian Flap-shell Tortoise	
Pelochelys spp.	giant softshell turtles	The entire genus is listed.
Hirudo medicinalis	Medicinal Leech	
Aphonopelma albiceps (formerly included in genus Brachypelma)	Tarantula	
Aphonopelma pallidum (formerly included in genus Brachypelma)	Tarantula	
Brachypelma spp.	red-kneed tarantulas	The entire genus is listed, however, a species with an earlier date of first listing is listed separately.
Brachypelma smithi	Red-kneed Tarantula	
Brachypelmides klaasi (formerly included in genus Brachypelma)	Mexican Pink tarantula	
Pandinus dictator	Emperor Scorpion	

Pandinus gambiensis	Emperor Scorpion	
Pandinus imperator (includes synonyms Pandinus africanus and Heterometrus roeseli)	Emperor Scorpion	
Atrophaneura jophon	Swallowtail Butterfly	
Atrophaneura pandiyana	Swallowtail Butterfly	
Bhutanitis spp.	Bhutan glory swallowtails	The entire genus is listed.
Ornithoptera spp. (sensu D'Abrera)	birdwing butterflies	The entire genus is listed, however, species included in a different Appendix and/or with an earlier date of first listing are listed separately.
Ornithoptera allotei	Birdwing Butterfly	
Ornithoptera chimaera	Birdwing Butterfly	
Ornithoptera goliath	Birdwing Butterfly	
Ornithoptera meridionalis	Birdwing Butterfly	
Ornithoptera paradisea	Paradise Birdwing Butterfly	
Ornithoptera victoriae	Queen Victoria's Birdwing Butterfly	
Parnassius apollo	Mountain Apollo Butterfly	
Teinopalpus spp.	Kaiser-I-Hind Butterflies	The entire genus is listed.
Trogonoptera spp. (sensu D'Abrera)	birdwing butterflies	The entire genus is listed.
Troides spp. (sensu D'Abrera)	birdwing butterflies	The entire genus is listed.
Antipatharia spp.	black corals	The entire order is listed.
Helioporidae spp.	Indo-pacific blue	The entire family is listed.

Scleractinia spp.	stony corals	The entire order is listed, however, subordinate taxa with an earlier date of first listing are listed separately.
Acropora spp.	staghorn corals	The entire genus is listed.
Pavona spp.	cactus corals	The entire genus is listed.
Euphyllia spp.	trumpet corals	The entire genus is listed.
Favia spp.	brain corals	The entire genus is listed.
Platygyra spp.	brain corals	The entire genus is listed.
Fungia spp.	mushroom corals	The entire genus is listed.
Halomitra spp.	bowl corals	The entire genus is listed.
Polyphyllia spp.	feather corals	The entire genus is listed.
Merulina spp.	merulinas	The entire genus is listed.
Lobophyllia spp.	brain corals	The entire genus is listed.
Pectinia spp.	lettuce corals	The entire genus is listed.
Pocillopora spp.	brush corals	The entire genus is listed.
Seriatopora spp.	birds nest corals	The entire genus is listed.
Stylophora spp.	cauliflower corals	The entire genus is listed.
Tubiporidae spp.	pipe corals	The entire family is listed.
Milleporidae spp.	fire corals	The entire family is listed.
Stylasteridae spp.	branched hydrocorals	The entire family is listed.
Cyprogenia aberti	Edible Pearly Mussel	

Epioblasma torulosa rangiana (also referenced in genera Dynsnomia and Plagiola)	Tan-blossom Pearly Mussel	
Pleurobema clava	Club Pearly Mussel	
Tridacnidae spp.	giant clams	The entire family is listed, however, species with an earlier date of first listing are listed separately.
Tridacna derasa	Giant Clam	
Tridacna gigas	Giant Clam	
Strombus gigas	Queen Conch	
Papustyla pulcherrima (includes generic synonym Papuina)	Manus Island Tree Snail	
Agave victoriae-reginae	Queen Victoria Agave	
Galanthus spp.	Snowdrops	The entire genus is listed.
Sternbergia spp.	Sternbergias	The entire genus is listed.
Pachypodium spp.	Pachypodiums	The entire genus is listed, however, species included in a different Appendix are listed separately.
Rauvolfia serpentina	Snake-root, Devil-pepper	Not including chemical derivatives and finished pharmaceutical products, in addition to the general exclusions.
Panax ginseng	Ginseng	Populations of the Russian Federation only. Listing is limited to whole and sliced roots and part of roots, excluding manufactured parts or derivatives such as powders, pills, extracts, tonics, teas and confectionery.

Panax quinquefolius	American Ginseng	Listing is limited to whole and sliced roots and part of roots, excluding manufactured parts or derivatives such as powders, pills, extracts, tonics, teas and confectionery.
Podophyllum hexandrum (also referenced as Podophyllum emodi and Sinopodophyllum hexandrum)	Himalayan May-apple	Not including chemical derivatives and finished pharmaceutical products, in addition to the general exclusions.
Tillandsia harrisii	Harris Tillandsia	
Tillandsia kammii	Kamm Tillandsia	
Tillandsia kautskyi	Kautsky Tillandsia	
Tillandsia mauryana	Maury Tillandsia	
Tillandsia sprengeliana	Sprengel Tillandsia	
Tillandsia sucrei	Sucre Tillandsia	
Tillandsia xerographica	Xerographic Tillandsia	
Cactaceae spp.	Cacti	Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of CITES:
		Hatiora x graeseri
		Schlumbergera buckleyi x
		Schlumbergera russelliana x Schlumbergera truncata
		Schlumbergera orissichiana x Schlumbergera truncate

		Schlumbergera opuntiodes x Schlumbergera truncate
		Schlumbergera truncate (cultivars)
		Cactaceae spp colour mutants lacking chlorophyll, grafted on the following grafting stocks: Harrisia 'Justertii', Hylocereus trigonus or Hylocereus undatus
		Opuntia microdasys (cultivars)
		Except for taxa listed above, the entire family is listed, however, subordinate taxa listed separately below are included in a different Appendix and/or have an earlier date of first listing.
		Listing is limited to all parts and derivatives, except:
		seeds, except those from Mexican cacti originating in Mexico, and pollen;
		seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers;
		Cut flowers of _ecognized_ propogated plants
		Fruits and parts and derivatives thereof of naturalised or _ecognized_ propogated plants; and

		Separate stem joints (pads) and parts and derivatives thereof of “recognized” or artificially propagated plants of the genus <i>Opuntia</i> subgenus <i>Opuntia</i> .
<i>Caryocar costaricense</i>	Ajo	
<i>Dudleya stolonifera</i>	Laguna Beach Dudleya	Listing includes all parts and derivatives.
<i>Dudleya traskiae</i>	Santa Barbara Island Dudleya	Listing includes all parts and derivatives.
<i>Cyathea</i> spp. (includes genera <i>Alsophila</i> , <i>Nephelea</i> , <i>Sphaeropteris</i> and <i>Trichipteris</i>)	Tree Ferns	The entire genus is listed, however, species with an earlier date of first listing are listed separately.
<i>Cyathea capensis</i>		
<i>Cyathea dredgei</i>		
<i>Cyathea mexicana</i>		
<i>Cyathea salvinii</i>		
Cycadaceae spp.	Cycads	The entire family is listed, however, a species included in a different Appendix is listed separately.
<i>Shortia galacifolia</i>	Oconee Bells	
<i>Cibotium barometz</i>	Tree Fern	
<i>Dicksonia</i> spp.	Tree Fern	Populations of the Americas only.
Didiereaceae spp.	Alluaudia	The entire family is listed.
<i>Dioscorea deltoidea</i>	Kniss, Kurta	
<i>Dionaea muscipula</i>	Venus Flytrap	

Euphorbia spp.	Euphorbias	All succulent taxa in the genus are listed, however, specifically annotated species, and species included in a different Appendix, are listed separately.
Euphorbia trigona		Although the species is included in Appendix II of the Convention, artificially propagated specimens of cultivars are not subject to the provisions of the Convention.
Fouquieria columnaris	Boojum Tree	
Oreomunnea pterocarpa (also referenced as Engelhardia pterocarpa)	Gavilán	
Pericopsis elata (also referenced in the genus Afrormosia)	Afrormosia	Listing is limited to logs, sawn wood and veneer sheets.
Platymiscium pleiostachyum	Cristobal, Granadillo	
Pterocarpus santalinus	Red Sandalwood, Redsanders	Listing is limited to logs, wood-chips and unprocessed broken material.
Aloe spp.	Aloes	The entire genus (except <i>Aloe vera</i>) is listed, however, species included in a different Appendix are listed separately.
Swietenia humilis	Pacific Coast Mahogany	

Swietenia macrophylla	Bigleaf Mahogany	Populations of the Neotropics (tropical Americas) only. Listing is limited to logs, sawn wood, veneer sheets and plywood. Enters into effect 15 November 2003.
Swietenia mahagoni	Caribbean Mahogany	Listing is limited to logs, sawn wood and veneer sheets.
Nepenthes spp.	Tropical Pitcher Plants	The entire genus listed, however, species included in a different Appendix and/or with an earlier date of first listing are listed separately.
Orchidaceae spp.	Orchids	The entire family is listed, however, species included in a different Appendix are listed separately.
		Excludes fruits and parts and derivatives thereof of artificially propagated plants of the genus <i>Vanilla</i> .
		Artificially propagated specimens of hybrids within the genus <i>Phalaenopsis</i> , are not subject to the provisions of the Convention when –
		a) specimens are traded in shipments consisting of individual containers (i.e. cartons, boxes, or crates) containing 100 or more plants each;

		b) all plants within a container are of the same hybrid, with no mixing of different hybrids within a container;
		c) plants within a container can be readily recognized as artificially propagated specimens by exhibiting a high degree of uniformity in size and stage of growth, cleanliness, intact root systems, and general absence of damage or injury that could be attributable to plants originating in the wild;
		d) plants do not exhibit characteristics of wild origin, such as damage by insects or other animals, fungi or algae adhering to leaves, or mechanical damage to roots, leaves, or other parts resulting from collection; and

		e) shipments are accompanied by documentation, such as an invoice, which clearly states the number of plants and which of the six exempt genera are included in the shipment, and is signed by the shipper. Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents
<i>Cistanche deserticola</i>	Desert Cistanche	Listing includes all parts and derivatives.
<i>Beccariophoenix madagascariensis</i>	Manarano Palm	Listing includes all parts and derivatives.
<i>Chrysalidocarpus decipiens</i>		
<i>Lemurophoenix halleuxii</i>	Red Lemur Palm	Listing includes all parts and derivatives.
<i>Marojejya darianii</i>	Big Leaf Palm	Listing includes all parts and derivatives.
<i>Neodypsis decaryi</i>	Triangle Palm	
<i>Ravenea louvelii</i>	Palm	Listing includes all parts and derivatives.
<i>Ravenea rivularis</i>	Majestic Palm	Listing includes all parts and derivatives.
<i>Satranala decussilvae</i>	Forest Bismarckia	Listing includes all parts and derivatives.
<i>Voanioala gerardii</i>	Forest Coconut	Listing includes all parts and derivatives.
<i>Anacampseros</i> spp. (<i>Anacampseros australiana</i> and <i>Anacampseros kurtzii</i> also reference in genus <i>Grahamia</i>)		The entire genus is listed.
<i>Avonia</i> spp. (formerly included in <i>Anacampseros</i> spp.)		The entire genus is listed.

Lewisia serrata	Saw-toothed Lewisia	
Cyclamen spp. (Artificially propagated specimens of cultivars of <i>Cyclamen persicum</i> are not subject to the provisions of the Convention. However, the exemption does not apply to such specimens traded as dormant tubers.)	Cyclamens	The entire genus is listed.
Orothamnus zeyheri	Marsh-rose	
Protea odorata	Ground-rose	
Adonis vernalis	Spring Adonis	Not including chemical derivatives and finished pharmaceutical products, in addition to the general exclusions.
Hydrastis canadensis	Goldenseal	Listing is limited to whole and sliced roots and part of roots, excluding manufactured parts or derivatives such as powders, pills, extracts, tonics, teas and confectionery.
Prunus africana	African Cherry	
Sarracenia spp.	Trumper Pitcher Plants	The entire genus listed, however, species and subspecies included in a different Appendix and with an earlier date of first listing are listed separately.
Picrorhiza kurrooa	Kutki	Listing is limited to whole and sliced roots and part of roots, excluding manufactured parts or derivatives such as powders, pills, extracts, tonics, teas and confectionery.

Bowenia spp. (formerly included in Zamiaceae spp.)	Bipinnate Cycads	The entire genus is listed.
Taxus wallichiana (also referenced as Taxus baccata wallichiana)	Himalayan Yew	Not including chemical derivatives and finished pharmaceutical products, in addition to the general exclusions.
Aquilaria malaccensis	Agarwood, Aloewood	
Nardostachys grandiflora	Himalayan Nard, Spikenard	Listing is limited to whole and sliced roots and part of roots, excluding manufactured parts or derivatives such as powders, pills, extracts, tonics, teas and confectionery.
Welwitschia mirabilis (includes synonym Welwitschia bainesii)	Welwitschia	
Zamiaceae spp.		The entire family is listed, however, subordinate taxa included in a different Appendix and/or with an earlier date of first listing are listed separately.
Hedychium philippinense	Philippine Garland Flower	
Guaiacum spp.	Lignum Vitae	The entire genus is listed, however, species with an earlier date of first listing are listed separately. Not including chemical derivatives and finished pharmaceutical products, in addition to the general exclusions.

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Guaiacum officinale	Commoner Vitae	Lignum	Not including chemical derivatives and finished pharmaceutical products, in addition to the general exclusions.
Guaiacum sanctum	Hollywood Vitae	Lignum	Not including chemical derivatives and finished pharmaceutical products, in addition to the general exclusions.

SCHEDULE 3 – 118.

Sec. 1(1)	
“SUMMARY OF SPECIES INCLUDED IN APPENDIX III OF THE CONVENTION.	
1. The taxa listed in the table to this Schedule are the taxa included in Appendix III of the Convention in accordance with the provisions of Articles XV and XVI of the Convention, and no other species.	
2. In the Table to this Schedule –	
(a)	the list entitled “Taxon” in the first column includes the scientific name of the listed taxa; and
(b)	the list entitled “Common name” in the second column is included for information only and the scientific name determines whether a species is included on the list; and
(c)	the list entitled “Notation” in the third column describes the specimens belonging to that species that are included in that entry; and
(d)	the country listed in the third column indicates the country for which the species is listed.
3. Where there is no entry in the third column of the table to this Schedule, the definition of a specimen for that particular species is taken to be a specimen that is either –	
(a)	that species; or
(b)	a part or derivative of that species,
or both.	
4. The list of taxa in the first column of the table to this Schedule is organized according to the following classifications: –	
“Vertebrate Animals	
Phylum: Chordata (Chordates)	
Class: Actinopterygii (Ray-finned fishes)	Family within Order, in alphabetic order.
Class: Amphibia (Amphibians)	Family within Order, in alphabetic order.
Class: Aves (Birds)	Family within Order, in alphabetic order.
Class: Chondrichthyes (Cartilaginous fishes)	Family within Order, in alphabetic order.

¹¹⁸ Schedule 3 Inserted by No. 33 of 2003, s. 21.

Class: Mammalia (Mammals)	Family within Order, in alphabetic order.
Class: Osteichthyes (Bony fishes)	Family within Order, in alphabetic order.
Class: Reptilia (Reptiles)	Family within Order, in alphabetic order.
“Invertebrate Animals	
Phylum: Annelida (Annelid worms)	
Class: Hirudinoidea (Leeches)	Family within Order, in alphabetic order.
Phylum: Arthropoda (Arthropods)	
Class: Arachnida (Arachnids)	Family within Order, in alphabetic order.
Class: Insecta (Insects)	Family within Order, in alphabetic order.
Phylum: Cnidaria (Coral-like animals)	
Class: Anthozoa (Corals, sea anemones)	Family within Order, in alphabetic order.
Class: Hydrozoa (Sea ferns, fire corals)	Family within Order, in alphabetic order.
Phylum: Echinodermata	
Class: Holothuroidea (sea cucumbers)	Family within Order, in alphabetic order.
Phylum: Mollusca (Molluscs)	
Class: Pelecypoda (=Bivalvia)	Family within Order, in alphabetic order.
Class: Gastropoda (Snails)	Family within Order, in alphabetic order.
“Plants:	Families, in alphabetic order.
5. The following general interpretations shall be applied: –	
(a)	for animalia species, in relation to hybrids –
(i)	where at least one of the animals in the previous four generations is of a species included in Appendix I of the Convention, hybrids shall be treated as specimens of species included in Appendix I; and

(ii)	where at least one of the animals in the previous four generations is of a species included in Appendix II of the Convention, and there are no specimens of a species included in Appendix I of the Convention in that lineage, hybrids shall be treated as specimens of species included in Appendix II of the Convention; and		
(iii)	where at least one of the animals in the previous four generations is of a species included in Appendix III of the Convention, and there are no specimens of a species included in Appendix I or Appendix II of the Convention in that lineage, hybrids shall be treated as specimens of species included in Appendix III of the Convention;		
(b)	for plantae species –		
(i)	unless otherwise noted, listings in Appendix II and Appendix III of the Convention include all parts and derivatives except		
	(A) seeds, spores and pollen (including pollinia); and		
	(B) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers; and		
	(C) cut flowers of artificially propagated plants; and		
(ii)	in relation to hybrids –		
	(A) where at least one of the plants in the recent lineage of the hybrid is of a species included in Appendix I or Appendix II of the Convention, the hybrid shall be treated as a specimen of species included in Appendix II of the Convention; and		
	(B) where at least one of the plants in the recent lineage of the hybrid is of a species included in Appendix III of the Convention, and there are no specimens of a species listed in Appendix I or Appendix II of the Convention in the lineage, the hybrid shall be treated as a specimen of a species included in Appendix III of the Convention.		
	Taxon	Common name	Notation
	Alopochen aegyptiacus	Egyptian Goose	Ghana
	Anas acuta	Northern Pintail	Ghana
	Anas capensis	Cape Wigeon	Ghana

Anas _bovate_ (also referenced as Spatula _bovate_)	Northern Shoveler	Ghana
Anas crecca	Green-winged Teal, Common Teal	Ghana
Anas penelope	European Wigeon, Eurasian Wigeon	Ghana
Anas querquedula	Garganey Teal	Ghana
Aythya nyroca (also referenced as Nyroca nyroca)	White-eyed Pochard	Ghana
Cairina moschata	Muscovy Duck	Honduras
Dendrocygna autumnalis	Black-bellied Whistling-duck, Red-billed Whistling-duck	Honduras
Dendrocygna _bovate_ (includes synonym Dendrocygna fulva)	Fulvous Whistling-duck	Ghana, Honduras
Dendrocygna viduata	White-faced Whistling-duck	Ghana
Nettapus auritus	African Pygmy Goose	Ghana
Plectropterus gambensis	Spur-winged Goose	Ghana
Pteronetta hartlaubii (also referenced as Cairina hartlaubii)	Hartlaub's Duck	Ghana
Burhinus bistriatus	Double-striped Thick-knee, Mexican Stone Curlew	Guatemala
Bubulcus ibis (also referenced as Ardeola ibis)	Cattle Egret	Ghana
Casmerodius albus (also referenced as Egretta alba and Ardea alba)	Great White Egret	Ghana
Egretta garzetta	Little Egret	Ghana
Ephippiorhynchus senegalensis	Saddlebill Stork	Ghana
Leptoptilos crumeniferus	Marabou Stork	Ghana

Bostrychia hagedash (also referenced as Hagedashia hagedash)	Hadada Ibis	Ghana
Bostrychia rara (also referenced as Lampribus rara)	Spotted-breasted Ibis	Ghana
Threskiornis aethiopicus	Sacred Ibis	Ghana
Columba guinea	Speckled Pigeon	Ghana
Columba iriditorques (also referenced as Turturoena iriditorques; formerly included as Columba malherbii (in part))	Bronze-necked, Bronze-naped Pigeon, Western Bronze-naped Pigeon	Ghana
Columba livia	Rock Dove	Ghana
Columba mayeri (also referenced as Nesoenas mayeri)	Pink Pigeon	Mauritius
Columba unicincta	African Wood Pigeon, Afep Pigeon	Ghana
Oena capensis	Namaqua Dove, Masked Dove, Cape Dove	Ghana
Streptopelia decipiens	African Mourning Dove, Mourning Collared Dove	Ghana
Streptopelia roseogrisea	African Turtle Dove, African Collared Dove	Ghana
Streptopelia semitorquata	Red-eyed Dove	Ghana
Streptopelia senegalensis	Laughing Dove	Ghana
Streptopelia turtur	European Turtle Dove	Ghana
Streptopelia vinacea	Vinaceous Dove, Tinaceous Dove	Ghana
Treron calva (formerly included as Treron australis (in part))	African Green Pigeon, Green Fruit Pigeon	Ghana
Treron waalia	Yellow-bellied Green Pigeon, Bruce's Green-pigeon	Ghana

Turtur abyssinicus	Black-billed Dove	Wood	Ghana
Turtur afer	Blue-spotted Dove	Wood	Ghana
Turtur brehmeri (also referenced as Calopelia brehmeri, includes synonym Calopelia puella)	Blue-headed Dove	Wood	Ghana
Turtur tympanistria (also referenced as Tynpanistria tympanistria)	Tambourine Dove		Ghana
Corythaeola cristata	Great Blue Turaco		Ghana
Crinifer piscator	Gray Plantain Eater, Go-away Bird		Ghana
Musophaga violacea	Violet Turaco		Ghana
Sarcoramphus papa	King Vulture		Honduras
Crax alberti	Blue-knobbed Curassow		Colombia
Crax daubentoni	Yellow-knobbed Curassow		Colombia
Crax globulosa	Wattled Curassow		Colombia
Crax rubra	Great Curassow		Colombia, Guatemala, Costa Rica, Honduras
Ortalis vetula	Plain Chachalaca		Guatemala, Honduras
Pauxi pauxi (also referenced as Crax pauxi)	Northern Helmeted Curassow		Colombia
Penelope purpurascens	Northern Crested Guan		Honduras
Penelopina nigra	Black Chachalaca, Highland Guan		Guatemala
Agelastes meleagrides	White-breasted Guineafowl		Ghana
Agriocharis ocellata	Ocellated Turkey		Guatemala
Arborophila charltonii	Scaly-breasted Tree-partridge, Chestnut-breasted Tree-partridge		Malaysia

Arborophila orientalis (formerly included as Arborophila brunneopectus (in part))	Bar-backed Tree- partridge, Bare- throated Tree- partridge, Grey- breasted Partridge	Malaysia
Caloperdix ocella	Ferruginous Wood- partridge	Malaysia
Lophura erythrophthalma	Crestless Fireback	Malaysia
Lophura ignita	Crested Fireback	Malaysia
Melanoperdix nigra	Black Wood-partridge, Black Partridge	Malaysia
Polyplectron inopinatum	Rothschild's Peacock- pheasant, Mountain Peacock-pheasant	Malaysia
Rhizothera longirostris	Long-billed Wood- Partridge	Malaysia
Rollulus rouloul	Crested Partridge, Rouloul, Green-winged Wood Partridge	Malaysia
Tragopan satyra	Satyr Tragopan	Nepal
Cephalopterus ornatus	Amazonian Umbrellabird	Colombia
Cephalopterus penduliger	Long-wattled Umbrellabird	Colombia
Amadina fasciata	Cut-throat	Ghana
Amandava subflava (also referenced as Estrilda subflava or Sporaeginthus subflavus)	Zebra Waxbill	Ghana
Estrilda astrild	Common Waxbill	Ghana
Estrilda caerulescens	Lavender Waxbill, Lavender Fire-finch	Ghana
Estrilda melpoda	Orange-cheeked Waxbill	Ghana
Estrilda troglodytes	Black-rumped Waxbill	Ghana
Lagonosticta rara	Black-bellied Waxbill	Ghana
Lagonosticta rubricata	African Waxbill, African Firefinch	Ghana

Lagonosticta rufopicta	Bar-breasted Waxbill, Bar-breasted Firefinch	Ghana
Lagonosticta senegala	Red-billed Fire Finch, Red-billed Waxbill	Ghana
Lagonosticta vinacea (formerly included as Lagonosticta larvata (in part))	Vinaceous Waxbill	Ghana
Lonchura _bovate_ (includes generic synonym Spermestes)	Black-and-white Mannikin	Ghana
Lonchura cantans (also referenced as Euodice cantans; formerly included as Lonchura malbarica (in part))	White-throated Munia, African Silverbill	Ghana
Lonchura _bovate_y (includes generic synonym Spermestes)	Bronze Mannikin	Ghana
Lonchura fringilloides (includes generic synonym Spermestes)	Magpie Mannikin, Pied Mannikin	Ghana
Mandingoa nitidula (also referenced as Hypargos nitidulus)	Green-backed Twin- spot	Ghana
Nesocharis capistrata	Gray-headed Olive- back	Ghana
Nigrita bicolor	Chestnut-breasted Negro-finch	Ghana
Nigrita canicapilla	Gray-headed Negro- finch	Ghana
Nigrita fusconota	White-breasted Negro- finch	Ghana
Nigrita luteifrons	Pale-fronted Negro- finch	Ghana
Ortygospiza atricollis	Common Quail-finch	Ghana
Parmoptila rubrifrons (formerly included as Parmoptila woodhousei (in part))	Jameson's Antpecker, Flowerpecker Weaver- finch	Ghana
Pholidornis rushiae	Tit-hylia	Ghana

	Black-bellied Seedcracker	Ghana
<i>Pytilia hypogrammica</i>	Yellow-winged Pytilia	Ghana
<i>Pytilia phoenicoptera</i>	Red-winged Pytilia	Ghana
<i>Spermophaga haematina</i>	Blue-bill	Ghana
<i>Uraeginthus bengalus</i> (also referenced as <i>Estrilda bengala</i>)	Red-cheeked Cordon- bleu	Ghana
<i>Serinus canicapillus</i> (formerly included in <i>Serinus gularis</i> (in part))	West African Seedeater	Ghana
<i>Serinus leucopygius</i>	White-rumped Seedeater	Ghana
<i>Serinus mozambicus</i>	Yellow-fronted Canary	Ghana
<i>Bebrornis rodericanus</i>	Rodriquez Island Warbler	Mauritius
<i>Terpsiphone bourbonnensis</i> (also referenced as <i>Tchitrea bourbonnensis</i>)	Coq De Boise, Mascarene Paradise Flycatcher	Mauritius
<i>Amblyospiza albifrons</i>	Grosbeak Weaver	Ghana
<i>Anaplectes rubriceps</i> (also referenced as <i>Malimbus rubriceps</i> or <i>Anaplectes melanotis</i>)	Red-headed Malimbe	Ghana
<i>Anomalospiza imberbis</i>	Parasitic Weaver	Ghana
<i>Bubalornis albirostris</i>	Buffalo Weaver	Ghana
<i>Euplectes afer</i>	Yellow-crowned Bishop	Ghana
<i>Euplectes ardens</i> (also referenced as <i>Coliuspasser ardens</i>)	Red-collared Whydah	Ghana
<i>Euplectes franciscanus</i> (formerly included as <i>Euplectes orix</i> (in part))	Red Bishop, Orange Bishop	Ghana
<i>Euplectes hordeaceus</i>	Black-winged Red Bishop	Ghana

Euplectes macrourus (also referenced as Coliuspasser macrourus)	Yellow-mantled Whydah	Ghana
Malimbus cassini	Cassin's Malimbe	Ghana
Malimbus malimbicus	Crested Malimbe	Ghana
Malimbus nitens	Gray's Malimbe	Ghana
Malimbus rubricollis	Red-headed Weaver	Ghana
Malimbus scutatus	Red-vented Malimbe	Ghana
Pachyphantes superciliosus (also referenced as Ploceus superciliosus)	Compact Weaver	Ghana
Passer griseus	Gray-headed Sparrow	Ghana
Petronia dentata	Bush Petronia	Ghana
Plocepasser superciliosus	Chestnut-crowned Sparrow-weaver	Ghana
Ploceus albinucha	White-naped Black Weaver	Ghana
Ploceus aurantius	Orange Weaver	Ghana
Ploceus cucullatus (includes synonym Ploceus nigriceps)	Black-headed Weaver	Ghana
Ploceus heuglini	Heuglin's Masked Weaver	Ghana
Ploceus luteolus (also referenced as Sitagra luteola)	Little Weaver	Ghana
Ploceus melanocephalus (also referenced as Sitagra melanocephala)	Yellow-backed Weaver	Ghana
Ploceus nigerrimus	Viellot's Weaver	Ghana
Ploceus nigricollis	Black-necked Weaver	Ghana
Ploceus pelzelni	Slender-billed Weaver	Ghana
Ploceus preussi	Golden-backed Weaver	Ghana
Ploceus tricolor	Yellow-mantled Weaver	Ghana

Ploceus vitellinus (formerly included as Ploceus velatus)	Vitelline Masked Weaver	Ghana
Quelea erythroptus	Red-headed Quelea	Ghana
Sporopipes frontalis	Speckled-fronted Weaver	Ghana
Vidua chalybeate (also referenced as Hypochera chalybeata; includes synonyms Vidua amauropteryx, Vidua centralis, Vidua neumanni, Vidua okavangoensis and Vidua ultramarina)	Village Indigobird	Ghana
Vidua interjecta	Uelle Paradise Whydah	Ghana
Vidua larvaticola	Bako Indigobird	Ghana
Vidua macroura	Pin-tailed Whydah	Ghana
Vidua orientalis (formerly included in Vidua paradisaea (in part))	Northern Paradise Whydah	Ghana
Vidua raricola	Jambandu Indigobird	Ghana
Vidua togoensis	Togo Paradise Whydah	Ghana
Vidua wilsoni	Wilson's Indigobird	Ghana
Semnornis ramphastinus	Toucan Barbet	Colombia
Bailloni bailloni	Saffron Toucanet	Argentina
Pteroglossus castanotis	Chestnut-eared Aracari	Argentina
Ramphastos dicolorus	Red-breasted Toucan	Argentina
Selenidera maculirostris	Spot-billed Toucanet	Argentina
Psittacula krameri	Ring-neck Parakeet	Ghana
Carcharodon carcharias	Great White Shark	Australia
Antelope cervicapra	Blackbuck Antelope, Sasin	Nepal

Bubalus arnee (excludes the domesticated form, which is referenced as Bubalus bubalis)	Wild Water Buffalo, Wild Asiatic Buffalo	Nepal
Damaliscus lunatus	Sassaby Antelope, Korrigum, Tsessebe	Ghana
Gazella cuvieri	Mountain Gazelle, Edmi Gazelle	Tunisia
Gazella dorcas	Dorcas Gazelle	Tunisia
Gazella leptoceros	Slender-Horned Gazelle, Rhim	Tunisia
Tetracerus quadricornis	Four-horned Antelope, Chousingha	Nepal
Tragelaphus eurycerus (also referenced as Boocercus eurycerus; includes generic synonym Taurotragus)	Bongo Antelope	Ghana
Tragelaphus spekii	Sitatunga Antelope	Ghana
Cervus elaphus barbarus	Barbary Deer	Tunisia
Mazama cerasina	Red Brocket Deer, Middle American Red Brocket	Guatemala
Odocoileus virginianus mayensis	(Guantamalan) Whitetail Deer	Guatemala
Hyemoschus aquaticus	Water Chevrotain	Ghana
Canis aureus	Golden Jackal	India
Vulpes bengalensis	Bengal Fox	India
Vulpes vulpes griffithi	Griffith's Red Fox	India
Vulpes vulpes montana	Montane Red Fox	India
Vulpes vulpes pusilla (includes synonym Vulpes vulpes leucopus)	Little Red Fox	India
Herpestes brachyurus fuscus (formerly included as Herpestes fuscus)	Indian Brown Mongoose	India
Herpestes edwardsii	Indian Gray Mongoose	India

Herpestes javanicus aeropunctatus (formerly included as Herpestes aeropunctatus)	Small Indian Mongoose	India
Herpestes smithii	Ruddy Mongoose	India
Herpestes urva	Crab-eating Mongoose	India
Herpestes vitticollis	Stripe-necked Mongoose	India
Proteles cristatus	Aardwolf	Botswana
Mellivora capensis	Honey Badger, Ratel	Ghana, Botswana
Eira barbara	Tayra	Honduras
Galictis _bovate (includes synonym Galictis allamandi)	Grison, Greater Grison	Costa Rica
Martes flavigula	Yellow-throated Marten, Niligri Marten	India
Martes foina intermedia	Beech Marten, Central Asian Stone Marten	India
Martes gwatkinsii (formerly included in Martes flavigula)	Yellow-throated Marten, Niligri Marten	India
Mustela altaica	Mountain Weasel	India
Mustela erminea ferghanae	Ermine, Stoat	India
Mustela kathiah	Yellow-bellied Weasel	India
Mustela sibirica	Siberian Weasel	India
Odobenus rosmarus	Walrus	Canada
Bassaricyon gabbii	Bushy-tailed Olingo	Costa Rica
Bassariscus sumichrasti	Central American Cacomistle	Costa Rica
Nasua narica (formerly included as Nasua nasua)	Common Coati, Coatimundi	Honduras
Nasua nasua solitaria	South Brazilian Coati, Coatimundi	Uruguay
Potos flavus	Kinkajou	Honduras
Arctictis binturong	Binturong	India

Civettictis civetta (includes generic synonym Viverra)	African Civet	Botswana
Paguma larvata	Masked Palm Civet	India
Paradoxurus hermaphroditus	Common Palm Civet	India
Paradoxurus jerdoni	Jerdon's Palm Civet	India
Viverra civettina (formerly included as Viverra megaspila)	Malabar Large-spotted Civet	India
Viverra zibetha	Large Indian Civet	India
Viverricula indica	Lesser Oriental Civet, Small Indian Civet	India
Platyrrhinus lineatus (also referenced as Vampyrops lineatus)	White-lined Bat	Uruguay
Agouti paca (includes generic synonym Cuniculus)	Greater Paca, Spotted Cavy, Spotted Paca	Honduras
Anomalurus beecrofti	Beecroft's Scaly-tailed Flying Squirrel	Ghana
Anomalurus derbianus	Lord Derby's Scaly-tailed Flying Squirrel	Ghana
Anomalurus pelii	Pel's Scaly-tailed Flying Squirrel	Ghana
Idiurus macrotis	Long-eared Pygmy Flying Squirrel	Ghana
Dasyprocta punctata	Central American Agouti, Common Agouti	Honduras
Sphiggurus mexicanus (includes generic synonym Coendou)	Mexican Tree Porcupine, Middle American Prehensile-tailed Porcupine, Coendou	Honduras
Sphiggurus spinosus (includes generic synonym Coendou)	Spiny Tree Porcupine, Prehensile-tailed Porcupine	Uruguay
Hystrix cristata	North African Crested Porcupine	Ghana
Epixerus ebii	African Palm Squirrel, Ebian's Palm Squirrel	Ghana

Marmota caudata	Long-tailed Marmot	India
Marmota himalayana	Himalayan Marmot	India
Sciurus deppei	Deppe's Squirrel	Costa Rica
Cabassous centralis	Northern Naked-tailed Armadillo, Five-toed Armadillo	Costa Rica
Cabassous tatouay (includes synonym Cabassous gymnurus)	(Greater) Naked-tailed Armadillo	Uruguay
Choloepus hoffmanni	Hoffmann's Two-toed Sloth	Costa Rica
Tamandua mexicana (formerly included as Tamandua tetradactyla (in part))	Southern Tamandua, Collared Anteater	Guatemala
Hoplodactylus spp.	Sticky-toed Gecko	New Zealand. The entire genus is listed.
Naultinus spp.	New Zealand Tree Gecko	New Zealand. The entire genus is listed.
Atretium schistosum	Olive Keelback Water Snake	India
Cerberus rhynchops	Dog-faced Water Snake	India
Xenochrophis _bovate_y (formerly included in genus Natrix)	Asian Water Snake, Checkered Keelback Water Snake	India
Micrurus diastema	Atlanta Coral Snake	Honduras
Micrurus nigrocinctus	Central American Coral Snake, Black-banded Coral Snake	Honduras
Crotalus durissus	Tropical Rattlesnake, Cascabel, Cascaval	Honduras
Daboia russelii (formerly included as Vipera russelli)	Russell's Viper, Daboia	India
Pelomedusa subrufa	Helmeted Terrapin	Ghana
Pelusios adansonii	Adanson's Hinged Terrapin	Ghana
Pelusios castaneus	Brown Swamp Terrapin, Hinged Terrapin	Ghana

Pelusios gabonensis (also referenced as Pelusios subniger)	Gabon Hinged Terrapin	Ghana
Pelusios niger	Black Hinged Terrapin	Ghana
Trionyx triunguis	Three-clawed Turtle	Ghana
Colophon spp.	Cape stag beetles	South Africa
Isostichopus fuscus (syn Stichopus fuscus)	sea cucumber	Ecuador
Gnetum montanum		Nepal
Dipteryx panamensis	Almendro	Costa Rica. Listing includes all parts and derivatives.
Magnolia liliifera var. _bovate (formerly included as Talauma hodgsonii; also referenced as Magnolia Hodgsonii and Magnolia candollii var. _bovate)		Nepal
Cedrela odorata	Barbados Cedar, Spanish cedar, Cedro Rosa, Mexican Cedar, West Indian Cedar	Peru. Listing is limited to logs, sawn wood and veneer sheets.
Cedrela odorata	Barbados Cedar, Spanish cedar, Cedro Rosa, Mexican Cedar, West Indian Cedar	Colombia. Listing is limited to logs, sawn wood and veneer sheets.
Meconopsis regia		Nepal
Podocarpus neriifolius		Nepal
Gonystylus spp.	Ramin	Indonesia. The entire genus is listed.
Tetracentron sinense	Tetracentron	Nepal

SCHEDULE 4 – 119.

Sec.1(1)		
“Species naturally occurring in Papua New Guinea which are exempt from the provisions of this Act.		
1. The specimens and species listed below are exempt from the requirements of this Act.		
2. The exempting of a specimen or species from the requirements of this Act by listing in this Schedule does not exempt it from any requirements relating to fauna, flora or cultural material under any other law.		
“SPECIMENS		
(a)	logs, planks or processed articles of any timber species, other than CITES-listed species;	
(b)	bark and woodchips of any timber species, other than CITES-listed species;	
(c)	exudates, such as resins, gums, latex and essential oils, other than CITES-listed species;	
(d)	artifacts or articles manufactured from timber, grass, feathers, fur, bone, hide or shells, other than any parts or derivatives of CITES-listed species, or a specimen that is listed as protected fauna under the Fauna (Protection and Control) Act 1966.).	
“FAUNA		
	Common Name	Scientific Name
“Fish		
	Barramundi	Lates calcarifer
	Sharks	Carcharhinidae and Squalidae
	Stonefish	Synanceia verrucosa
	Maori Wrasse	Cheilinus undulatus
	Spanish mackerel	Scomberomorus commerson
	Trevally	Caranx spp
	Barracuda	Sphyraena spp
	Yellowfin Tuna	Thunnus albacares
	Bigeye Tuna	Thunnus obesus
	Skipjack Tuna	Katsuwonus pelamis

¹¹⁹ Schedule 4 Inserted by No. 33 of 2003, s. 21.

	Marlin	Makaira spp
	Papuan Black Bass	Lutjanus goldei
	Freshwater eels	Anguilla spp
"Invertebrates		
	Beche-de-mer	Holothuroidea spp
	Lobsters	Panulirus spp
	Prawns	Penaeus spp
		Metapenaeus spp
		Parapenaeus spp
		Atypopenaeus spp
	Freshwater Prawns	Macrobrachium spp
		Telescopium spp
	Trochus	Trochus spp
	Yabbies	Cherax spp
	Pearl oysters	Pinctada spp
	Mud Crabs	Scylla serrata
"flora		
	"Common Name	Scientific Name
	Coconut	Cocos nucifera
	Sugar cane	Saccharum spp
	Betel nut (buai)	Areca catchu
	Mustard (daka)	Piper betel
	Bamboo	Schizostachyum spp
		Bambusa spp
		Racemobambos spp
		Nastus spp
	Rattan	Calamus spp.
		Korthalsia spp.

SCHEDULE 5 – 120.

Sec.1(1)	
“EXOTIC SPECIMENS WHICH are exempt from the provisions of THIS ACT.	
1. The species and specimens of the species listed below are exempt from the requirements of this Act.	
2. The exempting of a specimen or species from the requirements of this Act by listing in this Schedule does not exempt it from any requirements relating to fauna, flora or cultural material under any other law.	
Common Name	Scientific Name
FAUNA	
Mammals	
Domestic Dog	Canis familiaris
Cattle	Bos indicus
	Bos taurus
Domestic Pig	Sus scrofa
Domestic Horse	Equus caballus
Domestic Sheep	Ovis aries
Domestic Goat	Capra hircus
House Mouse	Mus musculus
Black Rat	Rattus rattus
Brown Rat	Rattus norvegicus
Guinea Pig	Cavia porcellus
Donkey	Equus asinus
Birds	
Domestic Duck	Anas platyrhynchos
Goose	Anser anser
Quail	Coturnix coturnix
Chicken	Gallus gallus
FLORA	
All exotic species except –	

¹²⁰ Schedule 5 Inserted by No. 33 of 2003, s. 21.

(a)	those notified as prohibited or restricted imports under the Quarantine Act (Chapter 234) or the Plant Disease and Control Act (Chapter 220);
(b)	biological control agents, including insect or weed parasites, predators and micro-organisms);
(c)	living organisms that have been genetically modified (LMOs).

SCHEDULE 6 – 121.

Sec.1(1)
“CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA.
The Contracting States,
<i>Recognizing</i> that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;
<i>Conscious</i> of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;
<i>Recognizing</i> that peoples and States are and should be the best protectors of their own wild fauna and flora;
<i>Recognizing</i> , in addition, that international cooperation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade;
<i>Convinced</i> of the urgency of taking appropriate measures to this end;
Have agreed as follows:
Article I. – Definitions
For the purpose of the present Convention, unless the context otherwise requires;
(a) “Species” means any species, subspecies, or geographically separate population thereof;
(b) “Specimens” means:
(i) any animals or plant, whether alive or dead;
(ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof, and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and
(iii) in the case of a plant for species included in Appendix I, any readily recognizable part or derivative thereof; and for species in Appendix III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;
(c) “Trade” means export, re-export, import and introduction from the sea;
(d) “Re-export” means export of any specimen that has previously been imported.

¹²¹ Schedule 6 Inserted by No. 33 of 2003, s. 21.

(e)	“Introduction from the sea” means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;
(f)	“Scientific Authority” means a national scientific authority designated in accordance with Article IX;
(g)	“Management Authority” means a national management authority designated in accordance with Article IX;
(h)	“Party” means a State for which the present Convention has entered into force.
Article II.—Fundamental Principles	
1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation to order not to endanger further their survival and must only be authorized in exceptional circumstances.	
2. Appendix II shall include:	
(a)	all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and
(b)	other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.
3. Appendix III shall include all species which any Party identified as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the cooperation of other parties in the control of trade.	
4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.	
Article III. – Regulation of Trade in Specimens of Species Included in Appendix I	
1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.	
2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:	
(a)	a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
(b)	a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;

(c)	a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
(d)	a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.
3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:	
(a)	a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;
(b)	a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
(c)	a Management Authority of the State of import is satisfied that the specimen is not to be used for primarily commercial purposes.
4. The re-export of any specimen of a species included in Appendix I shall require for prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:	
(a)	a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;
(b)	a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
(c)	a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.
5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:	
(a)	a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;
(b)	a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
(c)	a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

Article IV. – Regulation of Trade in Specimens of Species included in Appendix II	
1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.	
2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:	
(a)	a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
(b)	a Management Authority of the State of export is satisfied that any living specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
(c)	a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.	
4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.	
5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:	
(a)	a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provision of the present Convention; and
(b)	a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:	

(a)	a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and
(b)	a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.
7. Certificates referred to in paragraph 6 of the Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.	
Article V. – Regulation of Trade in Specimens of Species included in Appendix III	
1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.	
2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:	
(a)	a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
(b)	a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
3. The import of any specimen of a species included in Appendix shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, and export permit.	
4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.	
Article VI. – Permits and Certificates	
1. Permits and certificates granted under the provisions of Articles III, IV and V shall be in accordance with the provisions of the Article.	
2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.	

3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.
4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.
5. A separate permit or certificate shall be required for each consignment of specimens.
6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit prescribed in respect of the import of that specimen.
7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its limitation by unauthorized persons as difficult as possible.
Article VII. – Exemptions and Other Special Provisions Relating to Trade
1. The provisions of Article III, IV and V shall not apply to the transit or trans-shipment of specimens through or in the territory of a Party while the specimens remain in Customs control.
2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Article III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.
3. The provisions of Article III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:
(a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or
(b) in the case of specimens of species included in Appendix II:
(i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;
(ii) they are being imported into the owner's State of usual residence; and
(iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens;

<p>unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimen.</p>
<p>4. Specimens of an animal species included in Appendix I bred in captivity for commercial purpose, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.</p>
<p>5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived therefrom, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Articles III, IV or V.</p>
<p>6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant material which carry a label issued or approved by a Management Authority.</p>
<p>7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:</p>
<p>(a) the exporter or importer registers full details of such specimens with that Management Authority;</p>
<p>(b) the specimens are in either of the categories specified in paragraphs 2 or 5 of this Article; and</p>
<p>(c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.</p>
<p>Article VIII. – Measures to be Taken by the Parties</p>
<p>1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures;</p>
<p>(a) to penalize trade in, or possession of, such specimens, or both; and</p>
<p>(b) to provide for the confiscation or return to the State of export of such specimens.</p>
<p>2. In addition to the measures taken under paragraph 1 of the Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.</p>

3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilities such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage and cruel treatment.
4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:
(a) the specimen shall be entrusted to a Management Authority of the State of confiscation;
(b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and
(c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under subparagraph (b) of this paragraph, including the choice of a rescue centre or other place.
5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated.
6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:
(a) the names and addresses of exporters and importers; and
(b) the number and type of permits and certificates granted; the States with such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in questions.
7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:
(a) an annual report containing a summary of the information specified in sub-paragraph (b) of paragraph 6 of this Article; and
(b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.
8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.
Article IX. – Management and Scientific Authorities

1. Each Party shall designate for the purpose of the present Convention
(a) one or more Management Authorities competent to grant permits or certificates on behalf of that Party; and
(b) one or more Scientific Authorities.
2. A State depositing an instrument of ratification, acceptance, approval or accession shall at the time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat
3. Any changes in the designations or authorization under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.
4. Any Management Authority referred to in paragraph 2 of this Article shall if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.
Article X. – Trade with States not Party to the Convention
Where export or re-export is to, or import is from, a State not a party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.
Article XI. – Conference of the Parties
1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.
2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise, and extraordinary meetings at any time on the written request of at least one-third of the Parties.
3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:
(a) make such provision as may be necessary to enable the Secretariat to carry out its duties;
(b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
(c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;
(d) receive and consider any reports presented by the Secretariat or by any Party; and
(e) where appropriate, make recommendations for improving the effectiveness of the present Convention.

4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.
5. At any meetings, the Parties may determine the time adopt rules of procedure for the meeting.
6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.
7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories, which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the Parties present object;
(a) international agencies or bodies, either government or non-governmental, and national governmental agencies and bodies; and
(b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located.
Once admitted, these observes shall have the right to participate but not to vote.
Article XII. – The Secretariat
1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme. To the extent and in the manner he considers appropriate, he may be assisted by suitable inter-governmental or non-governmental, international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.
2. The functions of the Secretariat shall be:
(a) to arrange for and service meetings of the Parties;
(b) to perform the functions entrusted to it under the provisions of Articles XV and XVI of the present Convention;
(c) to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;
(d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;
(e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;

(f)	to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;
(g)	to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;
(h)	to make recommendation for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature;
(i)	to perform any other function as may be entrusted to it by the Parties.
Article XIII. – International Measures	
1. When the Secretariat in the light of information received is satisfied that any species included in Appendices I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.	
2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that any an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorised by the Party.	
3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.	
Article XIV. – Effect on Domestic Legislation and International Conventions	
1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:	
(a)	stricter domestic measures regarding the conditions for trade, taking, possession, or transport of specimens of species included in Appendices I, II or III, or the complete prohibition thereof; or
(b)	domestic measures restricting or prohibiting trade, taking, possession, or transport of species not included in Appendices I, II or III.

2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures of the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession, or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields

3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external customs control and removing customs control between the parties thereto insofar as they relate to trade among the States members of that union agreement.

4. A State Party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligation imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.

5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

Article XV. – Amendments to Appendices I and II

1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:

(a) Any Party may proposed in amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be commenced to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of sub-paragraph (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.

(b)	Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes “Parties present and voting” means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.
(c)	Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties:	
(a)	Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.
(b)	For the marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring coordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.
(c)	For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.
(d)	Any Party may, within 60 days of the date on which the Secretariat communicated its recommendations to the Parties under sub-paragraphs (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.
(e)	The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.
(f)	If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
(g)	If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h), (i) and (j) of this paragraph.
(h)	The Secretariat shall notify the Parties that notification of objection has been received.

(i)	Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.
(j)	Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.
(k)	The Secretariat shall notify all Parties of the result of the vote.
(l)	If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (1) of paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment.	
Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.	
Article XVI. – Appendix III and Amendments thereto	
1. Any party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.	
2. Each list submitted under the provisions of paragraph I of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.	
3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.	

<p>4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in questions is included in Appendix III, submit any amendment of such laws and regulations or any new interpretations as they are adopted.</p>
<p>Article XVII. – Amendment of the Convention</p>
<p>1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes “Parties present and voting” means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.</p>
<p>2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.</p>
<p>3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.</p>
<p>Article XVIII. Resolution of Disputes</p>
<p>1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.</p>
<p>2. If the dispute cannot be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at The Hague and the Parties submitting the dispute shall be bound by the arbitral decision.</p>
<p>Article XIX. – Signature</p>
<p>The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.</p>
<p>Article XX. – Ratification, Acceptance, Approval</p>
<p>The present Convention shall be subject to ratification, acceptance or approval, Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.</p>
<p>Article XXI. – Accession</p>

<p>The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.</p>
<p>Article XXII. – Entry into Force</p>
<p>1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.</p>
<p>2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.</p>
<p>Article XXIII. – Reservations</p>
<p>1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.</p>
<p>2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:</p>
<p>(a) any species included in Appendix I, II and III; or</p>
<p>(b) any parts or derivatives specified in relation to a species concluded in Appendix III.</p>
<p>3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.</p>
<p>Article XXIV. – Denunciation</p>
<p>Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.</p>
<p>Article XXV. – Depositary</p>
<p>1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all States that have signed it or deposited instruments of accession to it.</p>
<p>2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.</p>

3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.
<i>In witness whereof</i> the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.
<i>Done at</i> Washington this third day March, One Thousand Nine Hundred and Seventy-three.
Sec.1(1)
“CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA.
The Contracting States,
<i>Recognizing</i> that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;
<i>Conscious</i> of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;
<i>Recognizing</i> that peoples and States are and should be the best protectors of their own wild fauna and flora;
<i>Recognizing</i> , in addition, that international cooperation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade;
<i>Convinced</i> of the urgency of taking appropriate measures to this end;
Have agreed as follows:
Article I. – Definitions
For the purpose of the present Convention, unless the context otherwise requires;
(a) “Species” means any species, subspecies, or geographically separate population thereof;
(b) “Specimens” means:
(i) any animals or plant, whether alive or dead;
(ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof, and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and
(iii) in the case of a plant for species included in Appendix I, any readily recognizable part or derivative thereof; and for species in Appendix III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;

(c)	“Trade” means export, re-export, import and introduction from the sea;
(d)	“Re-export” means export of any specimen that has previously been imported.
(e)	“Introduction from the sea” means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;
(f)	“Scientific Authority” means a national scientific authority designated in accordance with Article IX;
(g)	“Management Authority” means a national management authority designated in accordance with Article IX;
(h)	“Party” means a State for which the present Convention has entered into force.
Article II.—Fundamental Principles	
1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation to order not to endanger further their survival and must only be authorized in exceptional circumstances.	
2. Appendix II shall include:	
(a)	all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and
(b)	other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.
3. Appendix III shall include all species which any Party identified as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the cooperation of other parties in the control of trade.	
4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.	
Article III. – Regulation of Trade in Specimens of Species Included in Appendix I	
1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.	
2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:	
(a)	a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;

(b)	a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;
(c)	a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
(d)	a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.
3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:	
(a)	a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;
(b)	a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
(c)	a Management Authority of the State of import is satisfied that the specimen is not to be used for primarily commercial purposes.
4. The re-export of any specimen of a species included in Appendix I shall require for prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:	
(a)	a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;
(b)	a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
(c)	a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.
5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:	
(a)	a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;
(b)	a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and

(c)	a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.
Article IV. – Regulation of Trade in Specimens of Species included in Appendix II	
1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.	
2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:	
(a)	a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
(b)	a Management Authority of the State of export is satisfied that any living specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
(c)	a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.	
4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.	
5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:	
(a)	a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provision of the present Convention; and
(b)	a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

<p>6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:</p>
<p>(a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and</p>
<p>(b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.</p>
<p>7. Certificates referred to in paragraph 6 of the Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.</p>
<p style="text-align: center;">Article V. – Regulation of Trade in Specimens of Species included in Appendix III</p>
<p>1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.</p>
<p>2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:</p>
<p>(a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and</p>
<p>(b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.</p>
<p>3. The import of any specimen of a species included in Appendix shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, and export permit.</p>
<p>4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.</p>
<p style="text-align: center;">Article VI. – Permits and Certificates</p>
<p>1. Permits and certificates granted under the provisions of Articles III, IV and V shall be in accordance with the provisions of the Article.</p>

2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.
3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.
4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.
5. A separate permit or certificate shall be required for each consignment of specimens.
6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit prescribed in respect of the import of that specimen.
7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its limitation by unauthorized persons as difficult as possible.
Article VII. – Exemptions and Other Special Provisions Relating to Trade
1. The provisions of Article III, IV and V shall not apply to the transit or trans-shipment of specimens through or in the territory of a Party while the specimens remain in Customs control.
2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Article III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.
3. The provisions of Article III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:
(a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or
(b) in the case of specimens of species included in Appendix II:
(i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;
(ii) they are being imported into the owner's State of usual residence; and

(iii)	the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens;
	unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimen.
	4. Specimens of an animal species included in Appendix I bred in captivity for commercial purpose, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.
	5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived therefrom, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Articles III, IV or V.
	6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant material which carry a label issued or approved by a Management Authority.
	7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:
(a)	the exporter or importer registers full details of such specimens with that Management Authority;
(b)	the specimens are in either of the categories specified in paragraphs 2 or 5 of this Article; and
(c)	the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.
Article VIII. – Measures to be Taken by the Parties	
	1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures;
(a)	to penalize trade in, or possession of, such specimens, or both; and
(b)	to provide for the confiscation or return to the State of export of such specimens.

2. In addition to the measures taken under paragraph 1 of the Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.
3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilities such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage and cruel treatment.
4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:
(a) the specimen shall be entrusted to a Management Authority of the State of confiscation;
(b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and
(c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under subparagraph (b) of this paragraph, including the choice of a rescue centre or other place.
5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated.
6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:
(a) the names and addresses of exporters and importers; and
(b) the number and type of permits and certificates granted; the States with such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in questions.
7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:
(a) an annual report containing a summary of the information specified in sub-paragraph (b) of paragraph 6 of this Article; and
(b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.

8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.
Article IX. – Management and Scientific Authorities
1. Each Party shall designate for the purpose of the present Convention
(a) one or more Management Authorities competent to grant permits or certificates on behalf of that Party; and
(b) one or more Scientific Authorities.
2. A State depositing an instrument of ratification, acceptance, approval or accession shall at the time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat
3. Any changes in the designations or authorization under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.
4. Any Management Authority referred to in paragraph 2 of this Article shall if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.
Article X. – Trade with States not Party to the Convention
Where export or re-export is to, or import is from, a State not a party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.
Article XI. – Conference of the Parties
1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.
2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise, and extraordinary meetings at any time on the written request of at least one-third of the Parties.
3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:
(a) make such provision as may be necessary to enable the Secretariat to carry out its duties;
(b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
(c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;
(d) receive and consider any reports presented by the Secretariat or by any Party; and

(e)	where appropriate, make recommendations for improving the effectiveness of the present Convention.
4.	At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.
5.	At any meetings, the Parties may determine the time adopt rules of procedure for the meeting.
6.	The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.
7.	Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories, which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the Parties present object;
(a)	international agencies or bodies, either government or non-governmental, and national governmental agencies and bodies; and
(b)	national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located.
Once admitted, these observes shall have the right to participate but not to vote.	
Article XII. – The Secretariat	
1.	Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme. To the extent and in the manner he considers appropriate, he may be assisted by suitable inter-governmental or non-governmental, international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.
2.	The functions of the Secretariat shall be:
(a)	to arrange for and service meetings of the Parties;
(b)	to perform the functions entrusted to it under the provisions of Articles XV and XVI of the present Convention;
(c)	to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;
(d)	to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;

(e)	to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;
(f)	to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;
(g)	to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;
(h)	to make recommendation for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature;
(i)	to perform any other function as may be entrusted to it by the Parties.
Article XIII. – International Measures	
1. When the Secretariat in the light of information received is satisfied that any species included in Appendices I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.	
2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that any an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorised by the Party.	
3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.	
Article XIV. – Effect on Domestic Legislation and International Conventions	
1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:	
(a)	stricter domestic measures regarding the conditions for trade, taking, possession, or transport of specimens of species included in Appendices I, II or III, or the complete prohibition thereof; or
(b)	domestic measures restricting or prohibiting trade, taking, possession, or transport of species not included in Appendices I, II or III.

2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures of the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession, or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields

3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external customs control and removing customs control between the parties thereto insofar as they relate to trade among the States members of that union agreement.

4. A State Party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligation imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.

5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

Article XV. – Amendments to Appendices I and II

1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:

(a) Any Party may proposed in amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be commenced to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of sub-paragraph (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.

(b)	Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes “Parties present and voting” means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.
(c)	Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties:	
(a)	Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.
(b)	For the marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring coordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.
(c)	For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.
(d)	Any Party may, within 60 days of the date on which the Secretariat communicated its recommendations to the Parties under sub-paragraphs (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.
(e)	The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.
(f)	If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
(g)	If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h), (i) and (j) of this paragraph.
(h)	The Secretariat shall notify the Parties that notification of objection has been received.

(i)	Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.
(j)	Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.
(k)	The Secretariat shall notify all Parties of the result of the vote.
(l)	If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (1) of paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment.	
Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.	
Article XVI. – Appendix III and Amendments thereto	
1. Any party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.	
2. Each list submitted under the provisions of paragraph I of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.	
3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.	

<p>4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in questions is included in Appendix III, submit any amendment of such laws and regulations or any new interpretations as they are adopted.</p>
<p>Article XVII. – Amendment of the Convention</p>
<p>1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes “Parties present and voting” means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.</p>
<p>2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.</p>
<p>3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.</p>
<p>Article XVIII. Resolution of Disputes</p>
<p>1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.</p>
<p>2. If the dispute cannot be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at The Hague and the Parties submitting the dispute shall be bound by the arbitral decision.</p>
<p>Article XIX. – Signature</p>
<p>The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.</p>
<p>Article XX. – Ratification, Acceptance, Approval</p>
<p>The present Convention shall be subject to ratification, acceptance or approval, Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.</p>
<p>Article XXI. – Accession</p>

<p>The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.</p>
<p>Article XXII. – Entry into Force</p>
<p>1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.</p>
<p>2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.</p>
<p>Article XXIII. – Reservations</p>
<p>1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.</p>
<p>2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:</p>
<p>(a) any species included in Appendix I, II and III; or</p>
<p>(b) any parts or derivatives specified in relation to a species concluded in Appendix III.</p>
<p>3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.</p>
<p>Article XXIV. – Denunciation</p>
<p>Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.</p>
<p>Article XXV. – Depositary</p>
<p>1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all States that have signed it or deposited instruments of accession to it.</p>
<p>2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.</p>

3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.

In witness whereof the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.

Done at Washington this third day March, One Thousand Nine Hundred and Seventy-three.